

GONALITY AND GENUS OF CANONICAL COMPONENTS OF CHARACTER VARIETIES

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1. Introduction

Let M be a complete, orientable finite volume hyperbolic 3-manifold with cusps. The $\mathrm{SL}_2(\mathbb{C})$ character variety of M , denoted by $X(M)$, is a complex algebraic set arising as an algebro-geometric quotient of the representation variety $R(M) = \{\rho : \pi_1(M) \rightarrow \mathrm{SL}_2(\mathbb{C})\}$ (see §4 for more details). Thurston showed that any irreducible component of a character variety that contains the character of a discrete faithful representation has complex dimension equal to the number of cusps of M . Such components are called *canonical or geometric components* and are denoted $X_0(M)$. We will use the term canonical component.

Character varieties have been fundamental tools in studying the topology of M (we refer the reader to [24] for more details about the applications of the character variety to the topology of 3-manifolds), and canonical components carry a wealth of topological information about M , including containing subvarieties associated to Dehn fillings of M .

When M has exactly one cusp, any canonical component is a complex curve. The aim of this paper is to study how some of the natural invariants of these complex curves correspond to the underlying manifold M . In particular, we will concentrate on invariants of families of curves arising from Dehn filling on a 2-cusped hyperbolic manifold. This has been studied already in the the context of genus; for example for the families of twist knots and tunnel number one once-punctured torus bundles which both arise from Dehn fillings of the Whitehead link complement (see [14] and [1]).

In this paper we focus on how the *gonality* varies on families of curves arising from Dehn filling on a 2-cusped hyperbolic manifold. Recall that the gonality of a curve X , $\gamma(X)$, is the lowest degree of a rational map from X to \mathbb{C} .

Our main result about gonality is the following.

Theorem 1.1. *Let M be a complete, orientable, finite volume hyperbolic 3-manifold with two cusps. Then there exists a constant c depending only on M such that for any hyperbolic manifold N obtained by filling one cusp of M , and for any canonical component X_0 of $X(N)$ we have*

$$\gamma(X_0) \leq c.$$

The figure-8 knot complement and its so-called sister manifold are both integral surgery of one component of the Whitehead link complement. Their canonical components are well-known to be an elliptic curve, and a rational curve, respectively. These have gonality 2 and 1. As such, there can be different values of $\gamma(X_0)$ appearing in Theorem 1.1 as the X_0 vary according to the Dehn fillings of M .

Unlike genus, gonality is not a topological invariant of curves, but rather is intimately connected to the geometry of the curve. There are connections between gonality and genus, most notably the Brill-Noether theorem which gives an upper bound for gonality in terms of genus (see § 3.2 and § 6) but in some sense these are orthogonal invariants. For example, all hyperelliptic curves all have gonality two, but can have arbitrarily high genus. Moreover, for $g > 2$, there are curves of genus g of different gonality. We refer the reader to § 3 for precise definitions.

Our techniques can also be used to obtain information about the genera (denoted by g) and degree (denoted by d) of related varieties as we now discuss. This needs some additional notation.

Denote by ∂M the boundary of the compact manifold obtained from M by truncating the cusps of M . Assume that M has two cusps and fix a framing of each component of ∂M , denoted by $\{m_1, l_1\}$ and $\{m_2, l_2\}$, which we often refer to as meridional and longitudinal parameters for the i^{th} cusp.

The inclusion map from $\pi_1(\partial M)$ to $\pi_1(M)$ induces a map from $X(M)$ to $X(\partial M)$. Let $A(M)$ denote the image of this map and let $A_0(M)$ denote the image of a canonical component; such a component is also referred to as a canonical component. The variety $A(M)$ sits naturally in $\mathbb{C}^4(m_1, l_1, m_2, l_2)$. For $r = p/q \neq 0$ in lowest terms, we define the naive height of r to be $h(r) = \max\{|p|, |q|\}$ with $h(0) = h(\infty) = 1$. Let $M(-, r)$ denote the manifold obtained by $r = p/q$ filling of the second cusp of M .

Theorem 1.2. *Let M be a complete, orientable, finite volume hyperbolic 3-manifold with two cusps. Then there exists a constant c depending only on M such that for any hyperbolic manifold $N = M(-, r)$ obtained by filling one cusp of M , and for any canonical component A_0 of $A(N)$ we have*

$$g(A_0) \leq c \cdot h(r)^2.$$

Using work of Dunfield [7] (see also §4) we deduce the following.

Corollary 1.3. *Let M be a complete, orientable, finite volume hyperbolic 3-manifold with two cusps. Then there exists a constant c depending only on M such that for any hyperbolic manifold $N = M(-, r)$ obtained by filling one cusp of M satisfying $|H^1(N; \mathbb{Z}/2\mathbb{Z})| = 2$ and any canonical component X_0 of $X(N)$ we have*

$$g(X_0) \leq c \cdot h(r)^2.$$

Unlike the genus or gonality of a curve, the degree of a variety is inherently tied to its embedding in ambient space. We obtain upper bounds for the degree of a ‘natural model’ of $A_0(M(-, r))$.

Theorem 1.4. *Let M be a complete, orientable, finite volume hyperbolic 3-manifold with two cusps. Then there exists a constant c depending only on M such that for any hyperbolic manifold $N = M(-, r)$ obtained by filling one cusp of M , and for any canonical component A_0 of $A(N)$ we have*

$$d(A_0) \leq c \cdot h(r).$$

Work of Hoste and Shanahan [11] demonstrates that the degree bounds in Theorem 1.4 are sharp.

In contrast with the main results of this paper, we also show that by filling two cusps of a three cusped manifold, we can construct examples of knots (so-called double twist knots) for which the gonality of the canonical component can be made

arbitrarily large (see §11.2 for details). Existence of families of curves with large gonality has been the subject of much recent research, including connections between the existence of towers of curves whose gonality goes to infinity and expander graphs [8].

We also remark on the gonality of the character varieties of the once-punctured torus bundles of tunnel number one [1]. These manifolds are all integral surgeries on one cusp of the Whitehead link and the corresponding gonalitys are all equal to one or two. Both the double twist knot examples and the once-punctured torus bundles of tunnel number one examples illustrate linear growth in the genus of the character varieties. We do not know if a quadratic bound such as in Theorem 1.2 is optimal.

We complete the introduction by sketching the proof of Theorem 1.1. With $A_0(M) \subset \mathbb{C}^4$ as above, it follows that each cusp of M determines a rational map from $A_0(M)$ to \mathbb{C}^2 (denoted by ϖ_1 and ϖ_2). For simplicity we consider only the case when each of these maps has dense image in \mathbb{C}^2 (this is typically the case). In particular, it follows that the projection map ϖ_1 defines a degree $d > 0$ map between these surfaces. We then deduce from this that for all but finitely many 1-cusped hyperbolic manifolds N obtained by Dehn filling on the second cusp of M , the gonality of $A_0(N)$ is bounded by the degree of ϖ_1 .

2. Acknowledgements

The first author would like to thank the University of Texas at Austin and the Max Planck Institut für Mathematik for their hospitality while working on this manuscript. She would also like to thank Martin Hils for helpful discussions. We would also like to thank two referees for helpful comments on a previous version of the paper that helped clarify some of the arguments. This work was partially supported by a grant from the Simons Foundation (#209226 and #430077 to Kathleen Petersen), and by the National Science Foundation (to Alan Reid).

3. Algebraic Geometry and Preliminary Lemmas

3.1. Preliminaries. We follow Shafarevich [23] and Hartshorne [9]. The ‘natural models’ of the character varieties of interest are complex affine algebraic sets, which typically have singularities at infinity. We will rely on an analysis of rational maps between possibly singular affine sets. Such a map $f : X \dashrightarrow Y$ behaves (on most of X and $f(Y)$) as a combination of a very nice map and a map with controlled bad parts. We now present some algebraic geometry to make this precise.

Let $\mathbb{C}[T]$ be the polynomial ring with coefficients in \mathbb{C} in the variables T_1, \dots, T_n . A (Zariski) closed subset of \mathbb{C}^n is a subset $X \subset \mathbb{C}^n$ consisting of the common vanishing set of a finite number of polynomials in $\mathbb{C}[T]$. We let \mathfrak{U}_X denote the *ideal of X* , the ideal in $\mathbb{C}[T]$ consisting of all polynomials which vanish on X . If I is an ideal in $\mathbb{C}[T]$ we let $V(I)$ denote the *vanishing set* of I in \mathbb{C}^n , and if $f \in \mathbb{C}[T]$ let $V(f)$ be the vanishing set of f in \mathbb{C}^n . The *coordinate ring of X* is $\mathbb{C}[X] = \mathbb{C}[T]/\mathfrak{U}_X$. This ring is the ring of all polynomials on X , up to the equivalence of being equal on X ; adding any polynomial in \mathfrak{U}_X to a polynomial f does not change the value of f on X . If a closed set X is irreducible then the field of fractions of the coordinate ring $\mathbb{C}[X]$ is the *function field* or *field of rational functions* of X ; it is denoted $\mathbb{C}(X)$.

A function f defined on X with values in \mathbb{C} is *regular* if there exists a polynomial $F(T)$ with coefficients in \mathbb{C} such that $f(x) = F(x)$ for all $x \in X$. A map $f : X \rightarrow Y$ is *regular* if there exist n regular functions f_1, \dots, f_n on X such that $f(x) = (f_1(x), \dots, f_n(x))$ for all $x \in X$. Any regular map $f : X \rightarrow Y$ defines a pullback homomorphism of \mathbb{C} -algebras $f^* : \mathbb{C}[Y] \rightarrow \mathbb{C}[X]$ as follows. If $u : Y \rightarrow Z$ we define $v : X \rightarrow Z$ by $v(x) = u(f(x))$. We define f^* by $f^*(u) = v$. A *rational map* $f : X \dashrightarrow Y \subset \mathbb{C}^m$ is an m -tuple of rational functions $f_1, \dots, f_m \in \mathbb{C}(X)$ such that, for all points $x \in X$ at which all the f_i are defined, $f(x) = (f_1(x), \dots, f_m(x)) \in Y$. We define the pullback f^* as above. A rational map $f : X \dashrightarrow Y$ is called *dominant* if $f(X)$ is (Zariski) dense in Y . A rational map $f : X \dashrightarrow Y$ is called *birational* if f has a rational inverse, and in this case we say that X and Y are birational. A regular map $f : X \rightarrow Y$ is *finite* if $\mathbb{C}[X]$ is integral over $\mathbb{C}[Y]$. These definitions naturally extend to the projective setting. A *morphism* $\varphi : X \rightarrow Y$ is a continuous map such that for every open $V \subset Y$ and every regular function $f : Y \rightarrow \mathbb{C}$ the function $f \circ \varphi : \varphi^{-1}(V) \rightarrow \mathbb{C}$ is regular.

Character varieties are naturally affine sets. It is useful to consider an embedding of such an affine set into projective space. Given an affine variety X , a *projective completion* of X is the Zariski closure of an embedding of X in some projective space. A *smooth projective model* of X is a smooth projective variety birational to a projective completion of X . Such a model is unique up to isomorphism if X is a curve. The *degree* of any projective curve X , denoted $d(X)$ is the maximum number of points of intersection of X with a hyperplane not containing any component of X . This depends on the specific embedding of X .

The gonality of a curve is, in the most basic sense, the degree of a map from that curve to \mathbb{C}^1 . Intuitively the degree of a map is the number of preimages of ‘most points’ in the image. Let X and Y be irreducible varieties of the same dimension and $f : X \dashrightarrow Y$ a dominant rational map. The degree of the field extension $f^*(\mathbb{C}(Y)) \subset \mathbb{C}(X)$ is finite and is called the *degree* of f . That is,

$$\deg f = [\mathbb{C}(X) : f^*(\mathbb{C}(Y))].$$

This is well defined for rational and regular maps.

We are now in a position to see that dominant rational maps between possibly singular curves can be decomposed in a pleasing manner.

Proposition 3.1. *Let X be a possibly singular irreducible affine variety of dimension n and Y an algebraic set of dimension n . Assume that $g : X \dashrightarrow Y$ is a dense rational map.*

- (1) $\deg(g) = d$ is finite.
- (2) For all but finitely many co-dimension one subvarieties W of X ,

$$\deg(g|_W) \leq d.$$

- (3) If $n \leq 2$ then $g^{-1}(y)$ consists of at most d points except for perhaps finitely many $y \in Y$. In X there are finitely many irreducible sets of co-dimension one such that if x is not contained in the union of these sets then $g^{-1}(g(x))$ contains only finitely many points.

Proof. By Hironaka [10] one can resolve the singularities of a variety by a proper birational map (which has degree one). Therefore, the variety X is birational to a smooth projective variety X' , and similarly Y is birational to a smooth projective

Y' . The rational map $g : X \dashrightarrow Y$ induces a rational map $g' : X' \dashrightarrow Y'$ of the same degree by pre and post composition with the birational inverses,

$$X \xrightarrow{\alpha} X' \xrightarrow{g'} Y' \xrightarrow{\beta} Y.$$

By Hironaka's resolution of indeterminacies [10], g' can be resolved into a regular map by a sequence of blowups. Therefore we can take g' to be a proper morphism. By Stein Factorization (see [9] Corollary 11.5), a proper morphism factors as a proper morphism with connected fibers followed by a finite map. It follows that the degree is finite. By the Weak Factorization Theorem [28] these birational maps (since they are over \mathbb{C}) can be decomposed as a series of blow-ups and blow-downs over smooth complete varieties.

A blow up or blow down is defined for all points outside of a codimension one set. Therefore, outside of a finite union of codimension one subvarieties, the map g is the composition of isomorphisms, a proper morphism with connected fibers, and a finite map. If $W \subset X$ is a codimension one subvariety not in this set, then the degree of $g|_W$ is at most the degree of g .

If X is a curve, then part 3 follows from the observation that in this case, Stein Factorization implies that g' is a finite map. If X is a surface, then it suffices to show the content of the lemma for X and Y smooth projective surfaces, and $g : X \rightarrow Y$ a dense morphism. By Stein Factorization such a g can be decomposed into a map with connected fibers followed by a finite map. For the finite map, the number of pre-images of a point is bounded above by the degree. Since the maps are between surfaces, the map with connected fibers must be birational, so part 3 follows. □

Lemma 3.2. *Let $f : X \dashrightarrow Y$ be a dominant rational map of affine algebraic sets. If X is irreducible, then Y is irreducible. (That is, the closure of $f(X)$ is irreducible.)*

Proof. By Proposition 3.1 it suffices to assume that f is regular. The map f induces a map $f^* : \mathbb{C}[Y] \rightarrow \mathbb{C}[X]$ of coordinate rings. If $\mathfrak{U}_X \subset \mathbb{C}[T]$ and $\mathfrak{U}_Y \subset \mathbb{C}[S]$ then $\mathbb{C}[X] = \mathbb{C}[T]/\mathfrak{U}_X$ and $\mathbb{C}[Y] = \mathbb{C}[S]/\mathfrak{U}_Y$. Since $f(X)$ is dense in Y , it follows that f^* is an isomorphic inclusion (see [23] page 31). An algebraic set is irreducible if and only if the vanishing ideal is prime. Therefore \mathfrak{U}_X is prime and $\mathbb{C}[X]$ has no zero divisors. We conclude that $\mathbb{C}[Y]$ has no zero divisors, and therefore \mathfrak{U}_Y is prime and Y is irreducible. □

3.2. Gonality. The algebraic definition of gonality is as follows.

Definition 3.3. The *gonality* of a curve X in \mathbb{P}^n is

$$\gamma(X) = \min\{[\mathbb{C}(X) : \mathbb{C}(x)] : x \in \mathbb{C}(X) - \mathbb{C}\}.$$

Since $\mathbb{C}(X)$ is the function field of X and consists of the rational functions on X , we can reformulate the definition; the gonality of X is the minimal degree of a dominant rational map from X to \mathbb{P}^1 . Therefore, gonality is a birational invariant; two varieties which are birational (but not necessarily isomorphic) have equal gonality. If X is an affine curve, X is birational to a smooth projective model and as such the gonality of X and X' are equal, and this is the minimal degree of a dominant rational map from X to \mathbb{C} .

By Noether's Normalization theorem, if X is complex projective variety of dimension n , then there is a linear subspace disjoint from X such that the projection onto that subspace is finite to one and surjective. This is also true for affine varieties; if Z is an affine variety of dimension n there is a finite degree map from Z onto a dense subset of \mathbb{C}^n . Therefore, gonality is always finite.

Remark 3.4. Gonality of an affine variety is sometimes defined as the minimal degree of a regular map to \mathbb{C} (or of a finite map to \mathbb{C}). This (regular) gonality is easily seen to be greater than or equal to the (rational) gonality. In fact, there are examples where these two notions differ. The affine curve determined by the equation $xy = 1$ is not isomorphic to \mathbb{C} and so the (regular) gonality is not one. One can see that there is a regular degree two map onto \mathbb{C} , by projecting onto the line $y = -x$. Therefore, the (regular) gonality is two. Projection onto the x coordinate is a dominant map to \mathbb{C} as the image is $\mathbb{C} - \{0\}$ and has degree one; the (rational) gonality is one.

In the projective setting the gonality defined by using rational, regular, or finite maps are all equal, and are therefore equal to the (rational) gonality of a corresponding affine variety. If there is a dominant rational map $g : X \dashrightarrow Y$ between affine (possibly singular) curves then this induces a finite dominant morphism f between smooth projective models X' and Y' for X and Y , where the degree of g and the degree of f are the same.

Gonality can also be defined using the language of Riemann surfaces instead of complex curves. Here the definitions involving rational or regular maps correspond to meromorphic or holomorphic functions from the Riemann surface to \mathbb{P}^1 . Similarly, one can define the gonality in terms of the minimal degree of a branched or unbranched covering of \mathbb{P}^1 by the Riemann surface.

Hyperelliptic curves are curves defined by an equation of the form $y^2 = f(x)$ where f is a separable polynomial of degree greater than four. Such a curve has gonality equal to two, realized by the map $(x, y) \mapsto (x, y^2)$. However, the genus is $\lfloor (d-1)/2 \rfloor$ where d is the degree of f .

Nonetheless, the Brill-Noether theorem gives an upper bound for gonality in terms of genus. In particular it states that if a curve has gonality γ and genus g then

$$\gamma \leq \lfloor \frac{g+3}{2} \rfloor.$$

(See § 6 for more discussion.)

3.3. Key Lemmas. The following lemma will be essential for our gonality bounds.

Lemma 3.5. *Let $g : X \dashrightarrow Y$ be a degree d dominant rational map of irreducible (possibly singular) affine or projective curves. Then*

$$\gamma(Y) \leq \gamma(X) \leq d \cdot \gamma(Y).$$

Proof. The second inequality is clear. To show the first, we follow [21] Proposition A.1, including the proof for completeness. Let $f \in \mathbb{C}(X)$ be a degree d map realizing the gonality of X . Let $p(T) \in \mathbb{C}(Y)[T]$ be the characteristic polynomial of f when f is viewed as an element in the extension $\mathbb{C}(X)$ of $\mathbb{C}(Y)$. Let F be a finite extension of $\mathbb{C}(Y)$ such that $p(T)$ factors into the product of r monomials $(T - f_i)$, with $f_i \in F$.

Viewed as a function in F , the degree of f is $d[F : \mathbb{C}(X)]$. This is also the degree of the f_i since they are all in the same $\text{Aut}(F/\mathbb{C}(Y))$ orbit. The polar divisors of a coefficient of p viewed in F is at most the sum of the polar divisors of the f_i . (These polar divisors are just the effective divisors $(f_i)_\infty$.)

Therefore, each coefficient has degree at most $dr[F : \mathbb{C}(X)] = d[F : \mathbb{C}(Y)]$ as a function in F , and therefore degree at most d as a function in $\mathbb{C}(Y)$. Since f is non-constant, at least one of these coefficients is non-constant. Therefore the gonality of Y is at most d . \square

We now introduce some notation. Commonly $\alpha(x) \in \Theta(\beta(x))$ is written to mean that there are positive constants c_1 and c_2 independent of x such that

$$c_1\beta(x) \leq \alpha(x) \leq c_2\beta(x).$$

Notice that if $\alpha(x) \in \Theta(\beta(x))$ then also

$$c_2^{-1}\alpha(x) \leq \beta(x) \leq c_1^{-1}\alpha(x)$$

so $\beta(x) \in \Theta(\alpha(x))$. This defines an equivalence relation.

Definition 3.6. For positive quantities $\alpha = \alpha(x)$ and $\beta = \beta(x)$ we write $\alpha \sim \beta$ if $\alpha \in \Theta(\beta)$.

We will use \sim in conjunction with quantities such as $\gamma(X_0(M(-, r)))$ to mean that the constants do not depend on r but only on M and the framing of ∂M . Note that $\alpha(x) \sim 1$ means that there is a positive constant c independent of x such that $\alpha(x) \leq c$.

We now compute the gonality of the curves given by $x^p - y^q$ and $x^p y^q - 1$ in $\mathbb{C}^2(x, y)$. First we establish some notation for these sets.

Definition 3.7. Let p and q be relatively prime integers with $q > 0$. With $r = p/q$, let

$$\mathcal{C}(r) = V(x^{|p|}y^q - 1) \cup V(x^{|p|} - y^q)$$

in $\mathbb{C}^2(x, y)$. Let

$$\mathcal{H}(r) = V(x^{|p|}y^q - 1) \cup V(x^{|p|} - y^q)$$

in \mathbb{C}^4 where x and y are among the four coordinates, so $\mathcal{H}(r)$ is a vanishing set in $\mathbb{C}^4(x, y, x', y')$, for example. (Therefore, $\mathcal{H}(r)$ and $\mathcal{C}(r)$ are each the union of two hypersurfaces unless $p = 0$.)

Lemma 3.8. *Assume that p and q are relatively prime integers. Then the gonality of each irreducible component of $\mathcal{C}(r) \subset \mathbb{C}^2(x, y)$ is one.*

Proof. If either p or q is zero, the polynomial is $x = 1$ or $y = 1$ and is therefore naturally a \mathbb{C}^1 and has gonality one. Now assume that $p, q > 0$. We will compute the gonality of $V(x^p y^q - 1)$ since this vanishing set is birationally equivalent to the vanishing set of $x^p y^q - 1$ under the map $(x, y) \mapsto (x, y^{-1})$.

Since p and q are relatively prime, there are integers a and b such that $ap + bq = 1$. Let $\varphi : \mathbb{C}^2(x, y) \dashrightarrow \mathbb{C}^2(x', y')$ be the rational map given by

$$(x, y) \mapsto (x^b y^{-a}, x^p y^q) = (x', y').$$

This has an inverse φ^{-1} given by

$$(x', y') \mapsto ((x')^q (y')^a, (x')^{-p} (y')^b).$$

The map φ is birational, so $\gamma(V(x^p y^q - 1))$ is equal to the gonality of the image of $V(x^p y^q - 1)$ under φ . This image is dense in $y' = 1$. As $y' = 1$ naturally defines a \mathbb{C}^1 , the gonality of $V(y' - 1)$ and $V(x^p y^q - 1)$ are both one. \square

3.4. Gonality and Projection.

We will now prove a few lemmas which will be essential in bounding the gonalities we are interested in. In § 5 we will relate the situation below to that of the character varieties of interest. Recall the definition of $\mathcal{C}(r)$ and $\mathcal{H}(r)$ from Definition 3.7. By Lemma 3.8 the gonality of (each irreducible component of) $\mathcal{C}(r) \subset \mathbb{C}^2$ is equal to one.

Remark 3.9. If a curve C is reducible, by $\gamma(C)$ we mean the maximal gonality of any irreducible curve component of C .

Definition 3.10. Define the maps ϖ_1 and ϖ_2 to be the projection maps

$$\varpi_i : \mathbb{C}^4(x_1, y_1, x_2, y_2) \rightarrow \mathbb{C}^2(x_i, y_i)$$

for $i = 1, 2$.

By Definition 3.7, with $\mathcal{H}(r) \subset \mathbb{C}^4(x_1, y_1, x_2, y_2)$ and $\mathcal{C}(r) \subset \mathbb{C}^2(x_2, y_2)$ then $\mathcal{C}(r) = \varpi_2(\mathcal{H}(r))$.

Lemma 3.11. *Let V be an irreducible surface in $\mathbb{C}^4(x_1, y_1, x_2, y_2)$ with $\dim_{\mathbb{C}} \varpi_i(V) > 0$. Then for all but finitely many r , $V \cap \mathcal{H}(r)$ consists of a finite union of curves.*

Proof. The dimension of V is two, and the dimension of $\mathcal{H}(r)$ is three. Therefore, each irreducible component of their intersection has dimension at least one (see [9] Section I.7). As a result, the dimension of any irreducible component of $V \cap \mathcal{H}(r)$ is one, or two.

Assume there are infinitely many r such that $V \cap \mathcal{H}(r)$ contains an irreducible component of dimension two. The set $\mathcal{H}(r)$ is of the form $\mathbb{C}^2 \times \mathcal{C}(r)$, and in $\mathbb{C}^2(x_2, y_2)$ any two distinct $\mathcal{C}(r)$ intersect in only finitely many points. We conclude that $V \subset \mathbb{C}^2 \times P$ for some point P . Therefore, $\varpi_2(V) = P$ has dimension zero, which does not occur by hypothesis. It follows that $V \cap \mathcal{H}(r)$ consists of a finite union of curves for all but finitely many r . \square

The algebraic sets whose gonalities we will bound are of the form $\varpi_1(V \cap \mathcal{H}(r))$. We will show that the dimension $\varpi_i(V)$ is either one or two for our sets. The proof of the main theorem will consist of three cases, depending on the dimension of $\varpi_i(V)$. When the dimension of $\varpi_i(V)$ is two for $i = 1, 2$, we will prove our gonality bounds by bounding the gonality of $\varpi_2(V \cap \mathcal{H}(r))$, using this to bound the gonality of $V \cap \mathcal{H}(r)$, and finally, using this to bound the gonality of $\varpi_1(V \cap \mathcal{H}(r))$. The following lemmas will be essential for our bounds.

Lemma 3.12. *Let V be an irreducible surface in $\mathbb{C}^4(x_1, y_1, x_2, y_2)$ with $\dim_{\mathbb{C}} \varpi_i(V) > 0$. Assume that $V \cap \mathcal{H}(r)$ is a finite union of curves and $\varpi_2(V)$ is dense in \mathbb{C}^2 . Then for any irreducible curve component C of $V \cap \mathcal{H}(r)$*

$$\gamma(C) \sim 1$$

where the implied constant depends only on V .

Proof. By Proposition 3.1 the image $\varpi_2(V)$ consists of all of $\mathbb{C}^2(x_2, y_2)$ except perhaps a finite union of curves and points. The image $\varpi_2(\mathcal{H}(r)) = \mathcal{C}(r) \subset \mathbb{C}^2(x_2, y_2)$ and since two distinct $\mathcal{C}(r)$ intersect in only a finite set of points, we conclude that $\varpi_2(V \cap \mathcal{H}(r))$ maps onto a dense subset of $\mathcal{C}(r)$ for all but perhaps finitely many r . Therefore, it suffices to show the bound for these r where $\varpi_2(V \cap \mathcal{H}(r))$ is dense in $\mathcal{C}(r)$.

By Proposition 3.1, the degree $d = \deg(\varpi_2|_V)$ is finite, and for all but a finite number of points $\{P_m\}$ in the image of $\varpi_2|_V$, the number of pre-images of any point is bounded by d . For some such P_m , the pre-image of P_m is contained in a finite union of curves $\{C_{m,n}\}$ in V . The set $V \cap \mathcal{H}(r)$ is a union of curves and each of these curves intersects a $C_{m,n}$ in a finite collection of points unless $C_{m,n}$ coincides with a curve component of $V \cap \mathcal{H}(r)$.

Let D be an irreducible curve component of $V \cap \mathcal{H}(r)$. If $C_{m,n}$ coincides with D then as there are only finitely many $C_{m,n}$ the maximal gonality of any such D is bounded by a constant depending only on V . If $C_{m,n}$ does not coincide with D then the degree of $\varpi_2|_D$ is bounded by d , which is finite and independent of r . By Lemma 3.5 we conclude that $\gamma(D) \sim \gamma(\mathcal{C}(r))$. By Lemma 3.8, $\gamma(\mathcal{C}(r)) = 1$, which concludes the proof. \square

Lemma 3.13. *Let V be an irreducible surface in $\mathbb{C}^4(x_1, y_1, x_2, y_2)$ with $\dim_{\mathbb{C}} \varpi_i(V) > 0$. Assume that $V \cap \mathcal{H}(r)$ is a finite union of curves and $\varpi_2(V)$ is a curve in \mathbb{C}^2 . Then for any irreducible curve component C of $V \cap \mathcal{H}(r)$*

$$\gamma(C) \sim 1$$

where the implied constant depends only on V .

Proof. The projection $\varpi_2(V \cap \mathcal{H}(r))$ is a finite collection of points unless a curve component of $\mathcal{C}(r)$ is contained in the closure of $\varpi_2(V) \subset \mathbb{C}^2(x_2, y_2)$. This can only occur for finitely many r as $\varpi_2(V)$ is a curve. For all r in this finite set, $\gamma(V \cap \mathcal{H}(r))$ is bounded.

It now suffices to consider those r such that $\varpi_2(V \cap \mathcal{H}(r))$ is a finite collection of points. For these r , any curve in $V \cap \mathcal{H}(r)$ must be of the form $D \times P$ where $D \subset \mathbb{C}^2(x_1, y_1)$ is a curve and $P \subset \mathbb{C}^2(x_2, y_2)$ is a point. (If $(1, 1)$ is in $\varpi_2(V)$ then it is possible that P are the same for all r since $(1, 1)$ is contained in each D .)

It suffices to universally bound the gonality of one such $D_r \times P_r$ as there are only finitely many irreducible components of each $V \cap \mathcal{H}(r)$. Call such a point $P_r = (a_r, b_r)$. We use the notation established in § 3.1. Let $\{\varphi_i\}$ be a generating set for \mathfrak{U}_V where $\varphi_i = \varphi_i(x_1, y_1, x_2, y_2)$. A generating set for $\mathfrak{U}_{D_r \times P_r}$ is contained in $\{\varphi_{r,i}^*\}$ where $\varphi_{r,i}^* = \varphi_i(x_1, y_1, a_r, b_r)$. The degrees of $\varphi_i|_{x_1}$ and $\varphi_i|_{y_1}$ provide an upper bound for the degrees of $\varphi_{r,i}^*$. These upper bounds are independent of r . It follows that the degree d_r of $D_r \times P_r$ is bounded by some d which is independent of r .

The genus of $D_r \times P_r$ equals the genus of D_r as they are isomorphic. Moreover, the degree of D_r equals the degree of $D_r \times P_r$ as seen by the form of the $\varphi_{r,i}^*$. Therefore, we identify $D_r \times P_r$ with the plane curve $D_r \subset \mathbb{C}^2(x_1, y_1)$. By the genus degree formula the genus g_r of D_r satisfies

$$g_r \leq \frac{1}{2}(d_r - 1)(d_r - 2).$$

(There is equality if the plane curve is non-singular.) The Brill-Noether bound states that for $\gamma_r = \gamma(D_r)$,

$$\gamma_r \leq \lfloor \frac{g_r + 3}{2} \rfloor.$$

Therefore

$$\gamma_r \leq \lfloor \frac{g_r + 3}{2} \rfloor \leq \frac{1}{4}(d_r - 1)(d_r - 2) + 2.$$

The lemma follows as $d_r \leq d$ and d is independent of r . \square

4. Dehn Filling and Character Varieties

4.1. Assume that M is a finite volume hyperbolic 3-manifold whose boundary consists of exactly k torus cusps. Fix a framing for each cusp; let T_i be the i^{th} connected component of ∂M and let μ_i and λ_i be an oriented choice of meridian and longitude for T_i . For $r_i = p_i/q_i \in \mathbb{Q} \cup \{1/0\}$, $i = 1, \dots, k$, written in lowest terms (with q non-negative), let $M(r_1, \dots, r_k)$ denote the manifold obtained by performing r_i Dehn filling on the i^{th} cusp of M . We write $r_i = -$ to indicate that the i^{th} cusp remains complete and is not filled. By Thurston's Hyperbolic Dehn Surgery Theorem ([25] Theorem 5.8.2), for all but finitely many values of r in $\mathbb{Q} \cup \{1/0\}$ the manifold obtained by r filling of one cusp of M is hyperbolic.

We fix a finite presentation for $\Gamma \cong \pi_1(M)$, with $M_i = [\mu_i]$, $L_i = [\lambda_i]$ amongst the generators for $i = 1, \dots, k$. If Γ has presentation

$$\Gamma = \langle \gamma_1, \dots, \gamma_n : \varrho_1, \dots, \varrho_l \rangle$$

then by van Kampen's theorem, a presentation for

$$\Gamma(r_1, \dots, r_k) \cong \pi_1(M(r_1, \dots, r_k))$$

is given by

$$\Gamma(r_1, \dots, r_k) = \langle \gamma_1, \dots, \gamma_n : \varrho_1, \dots, \varrho_l, w_1, \dots, w_k \rangle$$

where $w_i = M_i^p L_i^q$ unless $r = p/q = -$ in which case it is empty.

We state the next lemma for convenience. The proof is clear.

Lemma 4.1. *Let M be a finite volume two-cusped hyperbolic 3-manifold. Then*

$$|H^1(M(-, r); \mathbb{Z}/2\mathbb{Z})| \leq |H^1(M; \mathbb{Z}/2\mathbb{Z})|$$

for all $r \in \mathbb{Q} \cup \{1/0\}$.

4.2. We will now define various varieties associated to the fundamental group of a 3-manifold M (see [26].) For a finitely generated group Γ , and a homomorphism $\rho : \Gamma \rightarrow \text{SL}_2(\mathbb{C})$, the set

$$\text{Hom}(\Gamma, \text{SL}_2(\mathbb{C})) = \{\rho : \Gamma \rightarrow \text{SL}_2(\mathbb{C})\}$$

naturally carries the structure of an affine algebraic set defined over \mathbb{C} . This is called the *representation variety*, $R(\Gamma)$. Given an element $\gamma \in \Gamma$ we define the trace function $I_\gamma : R(\Gamma) \rightarrow \mathbb{C}$ as $I_\gamma(\rho) = \text{tr}(\rho(\gamma))$. Similarly, given a representation $\rho \in R(\Gamma)$ we define the character of ρ to be the function $\chi_\rho : \Gamma \rightarrow \mathbb{C}$ given by $\chi_\rho(\gamma) = \text{tr}(\rho(\gamma))$. The $\text{SL}_2(\mathbb{C})$ -character variety of Γ is the set of all characters,

$$X(\Gamma) = \{\chi_\rho : \rho \in R(\Gamma)\}.$$

Such a set is called a *natural model* for the character variety, as opposed to a set isomorphic to one obtained in this manner. The functions $t_\gamma : R(\Gamma) \rightarrow X(\Gamma)$ defined by $t_\gamma(\rho) = \chi_\rho(\gamma)$ are regular maps. The coordinate ring of $X(\Gamma)$ is $T \otimes \mathbb{C}$

where T is the subring of all regular functions from $R(\Gamma)$ to \mathbb{C} generated by 1 and the t_γ functions.

If Γ is isomorphic to Γ' , then the sets $R(\Gamma)$ and $R(\Gamma')$ are isomorphic, as are $X(\Gamma)$ and $X(\Gamma')$. We write $R(M)$ or $X(M)$ to denote a specific $R(\pi_1(M))$ or $X(\pi_1(M))$, respectively, which is well-defined up to isomorphism. The inclusion homomorphism $i : \pi_1(\partial M) \rightarrow \pi_1(M)$ induces a map $i^* : X(M) \rightarrow X(\pi_1(\partial M)) := X(\partial M)$ by $\chi_\rho \mapsto \chi_{\rho|_{\pi_1(\partial M)}}$. We write $A(M)$ to denote the closure of the (positive dimensional components of the) image of this map.

The representation variety $R(M)$ naturally sits in $\mathbb{C}^{4n}(g_{11}, g_{12}, g_{13}, g_{14}, \dots, g_{n4})$ where g_{i1}, g_{i2}, g_{i3} and g_{i4} are the four entries of the 2×2 matrix $\rho(\gamma_i)$. Fix a generating set for $\pi_1(\partial M)$, $\{M_1, L_1, \dots, M_k, L_k\}$ where each pair $\{M_i, L_i\}$ generates $\pi_1(T_i)$, the fundamental group a connected component of ∂M . Let $m_i^{\pm 1}$ be the eigenvalues of $\rho(M_i)$ and $l_i^{\pm 1}$ be the eigenvalues of $\rho(L_i)$.

Define the following functions on $\mathbb{C}(g_{11}, \dots, g_{n4}, m_1^{\pm 1}, l_1^{\pm 1}, \dots, m_k^{\pm 1}, l_k^{\pm 1})$

$$I_{M_i} - (m_i + m_i^{-1}), \quad I_{L_i} - (l_i + l_i^{-1}), \quad I_{M_i L_i} - (m_i l_i + m_i^{-1} l_i^{-1}).$$

The extended representation variety, $R_E(M)$ is the algebraic set in $\mathbb{C}^{4n} \times (\mathbb{C}^*)^{2k}$ cut out by $\mathfrak{U}_{R(M)}$ and the equations above for $i = 1, \dots, k$. The extended character variety $X_E(M)$ is defined similarly. The *eigenvalue variety*, $E(M)$, is the closure of the image of $R_E(M)$ under the projection to the coordinates $m_1, l_1, \dots, m_k, l_k$. It naturally sits in $(\mathbb{C}^*)^{2k}(m_1^{\pm 1}, l_1^{\pm 1}, \dots, m_k^{\pm 1}, l_k^{\pm 1})$. We have the following commuting diagram where the maps p_1, p_2 , and p_3 are all finite to one of the same degree.

$$\begin{array}{ccccc} R_E(M) & \xrightarrow{t_E} & X_E(M) & \xrightarrow{i_E^*} & E(M) \\ p_1 \downarrow & & p_2 \downarrow & & p_3 \downarrow \\ R(M) & \xrightarrow{t} & X(M) & \xrightarrow{i^*} & A(M) \end{array}$$

An irreducible component of $R(M)$ containing a discrete and faithful representation is denoted $R_0(M)$, and the image in $X(M)$ is denoted $X_0(M)$ and called a *canonical component*. For a given M there can be more than one canonical component, as there are multiple lifts of a discrete faithful representation to $\mathrm{SL}_2(\mathbb{C})$. We call an irreducible component of $A(M)$ a canonical component and write $A_0(M)$ if it is the image of a canonical component in $X(M)$. This definition also extends to the extended varieties.

We can define the $\mathrm{PSL}_2(\mathbb{C})$ representation and character varieties in a similar way (see [3]). We denote the $\mathrm{PSL}_2(\mathbb{C})$ character variety of M by $Y(M)$ and a canonical component by $Y_0(M)$. We can form the restriction variety $B(M)$ analogous to $A(M)$ as above (and also form $B_0(M)$ when appropriate). The group $\mathrm{PSL}_2(\mathbb{C})$ is isomorphic to $\mathrm{Isom}^+(\mathbb{H}^3)$, the group of orientation preserving isometries of hyperbolic half space. Since the index $[\mathrm{Isom}(\mathbb{H}^3) : \mathrm{Isom}^+(\mathbb{H}^3)] = 2$, for M a finite volume hyperbolic 3-manifold with at least one cusp there are two $\mathrm{PSL}_2(\mathbb{C})$ characters corresponding to discrete faithful representations of $\pi_1(M)$. These correspond to different orientations of M . The authors know of no examples where these two characters lie on different irreducible components of $Y(M)$.

The coordinate ring of the quotient is $T_e \otimes \mathbb{C}$ where T_e is the subring of T consisting of all elements invariant under the action of μ_2 . Culler [6] (Corollary 2.3) showed that a faithful representation of any discrete torsion-free subgroup to $\mathrm{PSL}_2(\mathbb{C})$ lifts to $\mathrm{SL}_2(\mathbb{C})$, and moreover (Theorem 4.1) that a representation $\rho : \Gamma \rightarrow$

$\mathrm{PSL}_2(\mathbb{C})$ lifts to a representation to $\mathrm{SL}_2(\mathbb{C})$ if and only if every representation in the path component containing ρ lifts.

Thurston's Dehn Surgery Theorem asserts that any neighborhood of the character of a discrete faithful representation in $Y(M)$ contains all but finitely many Dehn filling characters (see [25] Theorem 5.8.2 and [2] Theorem E.5.1 and Remark E.5.2).

Since a discrete faithful character is always a simple point of $Y(M)$ [22] it follows that $Y_0(M(-, r))$ is contained in some $Y_0(M)$ for all but finitely many r . Therefore, for all but finitely many r , $X_0(M(-, r))$ is contained in some canonical component of $X(M)$. There are $|H^1(\Gamma; \mathbb{Z}/2\mathbb{Z})|$ lifts of $\rho : \Gamma \rightarrow \mathrm{PSL}_2(\mathbb{C})$ to $\mathrm{SL}_2(\mathbb{C})$, so there are $2|H^1(\Gamma; \mathbb{Z}/2\mathbb{Z})|$ $\mathrm{SL}_2(\mathbb{C})$ characters corresponding to discrete and faithful representations.

In the light of Lemma 3.5 we now establish bounds on the degrees of maps between the varieties of interest.

Lemma 4.2. *Let M be a finite volume hyperbolic 3-manifold with exactly two cusps. Assume that $M(-, r)$ is hyperbolic.*

- (1) $Y_0(M(-, r)) \rightarrow B_0(M(-, r))$ has degree 1.
- (2) $X_0(M(-, r)) \rightarrow A_0(M(-, r))$ has degree at most $\frac{1}{2}|H^1(M; \mathbb{Z}/2\mathbb{Z})|$.
- (3) $E_0(M(-, r)) \rightarrow A_0(M(-, r))$ has degree 4.
- (4) $X_0(M(-, r)) \rightarrow Y_0(M(-, r))$ has degree at most $|H^1(M; \mathbb{Z}/2\mathbb{Z})|$.

These mappings are all dense.

Proof. Part (1) follows directly from Dunfield [7] (Theorem 3.1), who showed that the mapping $i^* : Y_0(M(-, r)) \rightarrow B_0(M(-, r))$ is a birational map onto its image. Dunfield [7] (Corollary 3.2), also proved that the degree of the map $i^* : X_0(M(-, r)) \rightarrow A_0(M(-, r))$ is at most $\frac{1}{2}|H^1(M(-, r); \mathbb{Z}/2\mathbb{Z})|$. By Lemma 4.1 this is bounded by $\frac{1}{2}|H^1(M; \mathbb{Z}/2\mathbb{Z})|$. This establishes part (2). The mapping from $E_0(M(-, r))$ to $X_0(M(-, r))$ is given by the action of inverting both entries of the pair (m_1, l_1) to (m_1^{-1}, l_1^{-1}) . This has degree at most four, giving part (3). Part (4) follows from the observation that the number of lifts of a representation $\rho : \Gamma \rightarrow \mathrm{PSL}_2(\mathbb{C})$ to $\mathrm{SL}_2(\mathbb{C})$ is $|H^1(\Gamma; \mathbb{Z}/2\mathbb{Z})|$. Therefore the degree of the map is at most $|H^1(M(-, r); \mathbb{Z}/2\mathbb{Z})|$ which is bounded above by $|H^1(M; \mathbb{Z}/2\mathbb{Z})|$ by Lemma 4.1. since the maps commute.

The mappings must all have dense image in the target space since all maps considered are maps between curves and the image must have dimension one as the degrees of the maps are bounded. \square

Given Lemma 4.2, and Definition 3.6 we record the following for convenience.

Proposition 4.3. *Let M be a finite volume hyperbolic 3-manifold with exactly two cusps. Assume that $M(-, r)$ is hyperbolic. Define the set*

$$\mathfrak{S} = \{A_0(M(-, r)), B_0(M(-, r)), E_0(M(-, r)), X_0(M(-, r)), Y_0(M(-, r))\}.$$

For any $V, W \in \mathfrak{S}$, $\gamma(V) \sim \gamma(W)$.

Proof. By Lemma 3.5 it suffices to show that for all but finitely many r that there is a dominant map from V to W or from W to V whose degree is independent of r . The lemma now follows from Lemma 4.2. \square

5. Gonality in Dehn surgery space

In this section we restrict to the case where M is a finite volume hyperbolic 3-manifold with exactly two cusps. Before we proceed, we define some Chebyshev polynomials which will appear as traces.

Definition 5.1. For any integer k let $f_k(x)$ be the k^{th} Fibonacci polynomial, that is defined $f_0(x) = 0$, $f_1(x) = 1$ and for all other k , define $f_k(x)$ recursively by the relation

$$f_{k+1}(x) + f_{k-1}(x) = x f_k(x).$$

Define $g_k = f_k - f_{k-1}$, and $h_k(x) = f_{k+1} - f_{k-1}$.

Remark 5.2. With $x = s + s^{-1}$ then

$$f_k(x) = \frac{s^k - s^{-k}}{s - s^{-1}}, \quad g_k(x) = \frac{s^k + s^{1-k}}{s + 1}, \quad \text{and} \quad h_k(x) = s^k + s^{-k}.$$

The effect of $r = p/q$ Dehn filling of the second cusp of M on the fundamental group is that it introduces the group relation $M_2^p L_2^q = 1$. Therefore, for a representation ρ the relation introduces an additional matrix relation $\rho(M_2)^p \rho(L_2)^q = I_2$ where I_2 is the 2×2 identity matrix. Up to conjugation, $\rho(M_2)$ can be taken to be upper triangular, and since M_2 and L_2 commute, $\rho(L_2)$ is upper triangular as well. (If $\rho(M_2) = I_2$ we can conjugate so that $\rho(L_2)$ is upper triangular.) The character and eigenvalue varieties are not changed by conjugation. Therefore, we may take

$$\rho(M_2) = \begin{pmatrix} m & s \\ 0 & m^{-1} \end{pmatrix} \quad \text{and} \quad \rho(L_2) = \begin{pmatrix} l & t \\ 0 & l^{-1} \end{pmatrix}$$

where $m, l \in \mathbb{C}^*$ and $s, t \in \mathbb{C}$. The commuting condition ensures that

$$(1) \quad t(m - m^{-1}) = s(l - l^{-1}).$$

By the Cayley-Hamilton theorem,

$$\rho(M_2)^p = \begin{pmatrix} m^p & s f_p(m + m^{-1}) \\ 0 & m^{-p} \end{pmatrix} \quad \text{and} \quad \rho(L_2)^{-q} = \begin{pmatrix} l^{-q} & -t f_q(l + l^{-1}) \\ 0 & l^q \end{pmatrix}.$$

As $\rho(M_2)^p = \rho(L_2)^{-q}$, we conclude that

$$m^p l^q = 1 \quad \text{and} \quad s f_p(m + m^{-1}) = -t f_q(l + l^{-1}).$$

If neither m nor l is ± 1 then (1) implies that

$$s \frac{m^p - m^{-p}}{m - m^{-1}} = t \frac{m^p - m^{-p}}{l - l^{-1}}.$$

With $m^p l^q = 1$ the condition $s f_p(m + m^{-1}) = -t f_q(l + l^{-1})$ follows. Therefore, the relation $s f_p(m + m^{-1}) = -t f_q(l + l^{-1})$ follows from (1) and $m^p l^q = 1$ unless m or l is ± 1 . If $m = \pm 1$ or $l = \pm 1$, an additional identity with s and t is required to deduce $s f_p(m + m^{-1}) = -t f_q(l + l^{-1})$ from the commuting condition and $m^p l^q = 1$. (The commuting condition (1) is part of the defining equations for $X(M)$ as M_i and L_i commute in the group.) In the next section, we will see how this additional relation manifests as an intersection in the character varieties. First, we will discuss the containment of these sets.

The set $X(\partial M)$ is contained in $\mathbb{C}^4(m_1, l_1, m_2, l_2)$ where the coordinates are distinguished eigenvalues of the meridians and longitudes of the cusps. Any irreducible component has dimension at most two, and the image of a canonical component

has dimension two (See [24] and [26] Proposition 12). The set $A(M(-, r))$ is contained in $\mathbb{C}^2(m, l)$ where m and l are identified with m_1 and l_1 , and any canonical component has dimension one.

For all but finitely many r , $Y_0(M(-, r))$ is contained in some $Y_0(M)$. Therefore for all but finitely many r , $X_0(M(-, r))$ is contained in some $X_0(M)$. There may be numerous lifts of $Y_0(M)$, each containing infinitely many $X_0(M(-, r))$. As $A_0(M) \subset \mathbb{C}^4$ and $A_0(M(-, r)) \subset \mathbb{C}^2$ it is not the case that $A_0(M(-, r)) \subset A_0(M)$. In Lemma 5.5 we will show the relationship between these two sets.

5.1. Filling Relations. Recall the notation established in §3; if f is a polynomial, $V(f)$ indicates the vanishing set of (the ideal generated by) f in a specified \mathbb{C}^n . We will make extensive use of the sets and polynomials from Definition 3.7. We will write $V(m_2^{\pm p} l_2^q - 1)$ for $V(m_2^{|p|} l_2^q - 1) \cup V(m_2^{|p|} - l_2^q)$.

Lemma 5.3. *Assume that $M(-, r)$ is hyperbolic. For all but finitely many r there is some $X_0(M)$ such that*

$$X_0(M(-, r)) \subset X_0(M) \cap \left(V(m_2^{\pm p} l_2^q - 1) \right).$$

Proof. For all but perhaps finitely many r such that $M(-, r)$ is hyperbolic there is some $X_0(M)$ containing a given $X_0(M(-, r))$. Consider these $r = p/q$. The fundamental group $\pi_1(M(-, r))$ is the quotient of $\pi_1(M)$ corresponding to the addition of a single relation, $M_2^p L_2^q = 1$. Therefore, using a presentation for $\pi_1(M)$ where M_2 and L_2 are among the generators, $X(M(-, r))$ naturally is contained in $X(M)$ (since both use the generators for $\pi_1(M)$, and representations of $\pi_1(M(-, r))$ simply satisfy an additional relation). The trace of $\rho(M_2)^p$ equals the trace of $\rho(L_2)^{-q}$. By construction, these traces are $h_p(\chi_\rho(M_2))$ and $h_q(\chi_\rho(L_2))$ with $\chi_\rho(M_2) = m_2 + m_2^{-1}$ and $\chi_\rho(L_2) = l_2 + l_2^{-1}$. This shows that

$$X_0(M(-, r)) \subset X_0(M) \cap V(h_p(m_2 + m_2^{-1}) - h_q(l_2 + l_2^{-1})).$$

It suffices to show that

$$V(h_p(m_2 + m_2^{-1}) - h_q(l_2 + l_2^{-1})) \subset V(m_2^{\pm p} l_2^q - 1).$$

This is equivalent to showing that the solution set of $m_2^p + m_2^{-p} = l_2^q + l_2^{-q}$ is contained in the solution set of $m_2^{\pm p} l_2^q = 1$ in $\mathbb{C}^4(m_2, l_2)$. This follows directly from the observation that the solutions to an equation of the form $x + x^{-1} = y + y^{-1}$ are $x = y^{\pm 1}$. □

Remark 5.4. It is possible that other trace relations will specify the sign in the relation $m_2^{\pm p} l_2^q - 1$ but this is not necessarily the case.

We will assume that M is hyperbolic and $X_0(M(-, r)) \subset X_0(M)$. The sets $A_0(M(-, r))$ and $A_0(M)$ lie in different ambient spaces, but we have the following lemma which allows us to concretely relate $A_0(M(-, r))$ with $A(M)$. Since M is hyperbolic $A_0(M)$ is a surface, and for all but finitely many r , $A_0(M(-, r))$ is a curve. The image under the projection map, $\varpi_i(A_0(M))$, has dimension at most two. The following lemma will allow us to deduce that $\varpi_i(A_0(M))$ cannot be a point, and therefore is either a curve or is dense in \mathbb{C}^2 . (The image is irreducible by Lemma 3.2.) Our analysis will depend upon the dimension of these images.

Lemma 5.5. *Assume that $M(-, r)$ is hyperbolic. For all but finitely many r , $A_0(M) \cap \mathcal{H}(r)$ is a finite union of curves. The variety $A_0(M(-, r))$ is a curve component of the closure of $\varpi_1(A_0(M) \cap \mathcal{H}(r))$ for some $A_0(M)$.*

Proof. The group $\pi_1(M(-, r))$ is a quotient of $\pi_1(M)$; the group presentation only has the additional relation that $M_2^p L_2^q = 1$, where $r = p/q$. Using the notation established earlier in this section, in the construction of $X(\partial M)$ and $A(M(-, r))$ the $(1, 1)$ entry of $\rho(M_i)$ is identified with m_i , and the $(1, 1)$ entry for $\rho(L_i)$ is identified with l_i . The manifestation of this group quotient on the character varieties is that $X_0(M(-, r))$ is contained in the intersection of some $X_0(M)$ and $V(m_2^{\pm p} l_2^q - 1)$, as shown in Lemma 5.3.

The epimorphism $\pi_1(M) \rightarrow \pi_1(M(-, r))$ surjects $\pi_1(\partial M)$ onto $\pi_1(\partial M(-, r))$ and this induces maps

$$X(M) \xrightarrow{i_*} X(\partial M) \xrightarrow{\varpi_1} X(\partial M(-, r)).$$

The set $X(\partial M)$ is dense in $\mathbb{C}^4(m_1, l_1, m_2, l_2)$ and the set $X(\partial M(-, r))$ is contained in $\mathbb{C}^2(m_1, l_1)$.

Since $X_0(M(-, r)) \subset X_0(M) \cap V(m_2^{\pm p} l_2^q - 1)$ by Lemma 5.3, it follows that

$$i_*(X_0(M(-, r))) \subset i_*(X_0(M)) \cap i_*(V(m_2^{\pm p} l_2^q - 1)).$$

Therefore, $i_*(X_0(M(-, r))) \subset A_0(M) \cap V(m_2^{\pm p} l_2^q - 1)$ in \mathbb{C}^4 . Finally, since $A_0(M(-, r))$ is the closure of $\varpi_1(i_*(X_0(M(-, r))))$, by projecting we conclude that $A_0(M(-, r))$ is contained in (the closure of) $\varpi_1(A_0(M) \cap V(m_2^{\pm p} l_2^q - 1))$, which is $\varpi_1(A_0(M) \cap \mathcal{H}(r))$.

To show that $A_0(M) \cap \mathcal{H}(r)$ is a finite union of curves, by Lemma 3.11 it suffices to show $\dim_{\mathbb{C}}(\varpi_i(A_0(M))) > 0$ for $i = 1, 2$. Since $M(-, r)$ is hyperbolic and has exactly one cusp $A_0(M(-, r))$ is a curve. From the above,

$$A_0(M(-, r)) \subset \varpi_1(A_0(M) \cap \mathcal{H}(r)) \subset \varpi_1(A_0(M))$$

which implies that $\dim_{\mathbb{C}} \varpi_1(A_0(M)) > 0$. By symmetry (filling the other cusp), $\dim_{\mathbb{C}} \varpi_2(A_0(M)) > 0$ as well. □

5.2. Gonality Relations. To control gonality we will analyze the dimension of $\varpi_1(A_0(M))$. The authors expect that the dimension of $\varpi_1(A_0(M))$ is usually two, and comment on a connection between this dimension and geometric isolation below. Our first lemma shows that if $\dim \varpi_1(A_0(M)) = 1$ then there are only finitely many $A_0(M(-, r))$. We conclude that in this situation, the gonality of any $A_0(M(-, r))$ is bounded independently of r .

Lemma 5.6. *Assume that $\dim \varpi_1(A_0(M)) = 1$. For all but finitely many r such that $A_0(M(-, r)) \subset \varpi_1(A_0(M))$, all of the curves $A_0(M(-, r))$ are identical.*

Proof. Lemma 5.5 implies that for all but finitely many r , $A_0(M(-, r))$ is contained in $\varpi_1(A_0(M) \cap \mathcal{H}(r))$. For all such r , $A_0(M(-, r))$ is a curve and since the dimension of $\varpi_1(A_0(M))$ is one, it follows that they must be the same curve. □

Remark 5.7. A similar statement applies to $B_0(M)$. If there is a single canonical component $Y_0(M)$ then Lemma 5.6 shows that for all but finitely many r the curves $B_0(M(-, r))$ are the same curve.

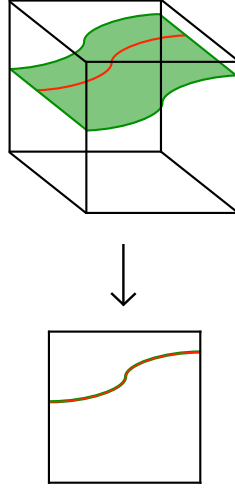


Figure 1. The set $A_0(M) \subset \mathbb{C}^4(m_1, l_1, m_2, l_2)$ with the intersection $A_0(M) \cap \mathcal{H}(r)$ and the projection $\varpi_1(A_0(M)) \subset \mathbb{C}^2(m_1, l_1)$ when this projection has dimension 1. The set $A_0(M(r)) \subset \varpi_1(A_0(M))$.

Now we deal with the case that $\dim \varpi_1(A_0(M)) = 2$. In order to bound the gonality of $A_0(M(-, r))$ it suffices to bound the gonality of $A_0(M) \cap \mathcal{H}(r)$.

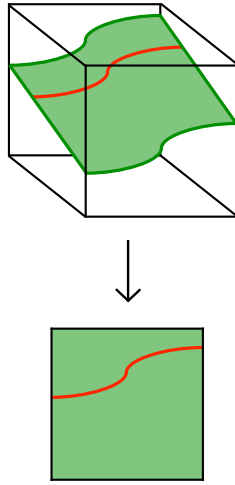


Figure 2. The set $A_0(M) \subset \mathbb{C}^4(m_1, l_1, m_2, l_2)$ with the intersection $A_0(M) \cap \mathcal{H}(r)$ and the projection $\varpi_1(A_0(M)) \subset \mathbb{C}^2(m_1, l_1)$ when $\dim \varpi_1(A_0(M)) = 2$. The set $A_0(M(r)) \subset \varpi_1(A_0(M))$.

Lemma 5.8. *Assume that $\dim \varpi_1(A_0(M)) = 2$. Then for all but finitely many r such that $A_0(M(-, r)) \subset \varpi_1(A_0(M))$ the set $A_0(M) \cap \mathcal{H}(r)$ is a finite union of curves. Additionally, for any irreducible curve component C of $A_0(M) \cap \mathcal{H}(r)$ with*

$A_0(M(-, r))$ contained in the closure of $\varpi_1(C)$

$$\gamma(C) \sim \gamma(A_0(M(-, r))).$$

Proof. It suffices to prove the lemma for a single irreducible component $A_0(M)$ whose projection contains infinitely many $A_0(M(-, r))$. By Lemma 3.5 it suffices to show that with the exception of finitely many r , the degree of ϖ_1 restricted to $A_0(M) \cap \mathcal{H}(r)$ is independent of r .

We are assuming that $\varpi_1 : A_0(M) \rightarrow \mathbb{C}^2$ is dense. By Proposition 3.1 this is a dense degree d map between surfaces, for some d . Moreover, for all but finitely many points in the image of $\varpi_1(A_0(M))$ the pre image of a point consists of at most d points in $A_0(M)$. The image $\varpi_1(A_0(M))$ is \mathbb{C}^2 with the possible exception of finitely many curves and points. By Lemma 5.5 except for finitely many points, $A_0(M(-, r))$ is contained in the image of $\varpi_1(A_0(M))$.

Therefore, for all but finitely many points P on any curve $A_0(M(-, r))$, the number of pre-images of P under ϖ_1 is at most d . As a result, if $C \subset (A_0(M) \cap \mathcal{H}(r))$ is an irreducible component such that $A_0(M(-, r))$ is contained in the closure of $\varpi_1(C)$, the map $\varpi_1|_C$ is degree at most d . Since d independent of r , we have $\gamma(C) \sim \gamma(A_0(M(-, r)))$. □

5.3. Proof of Theorem 1.1.

Proof of Theorem 1.1. From Proposition 4.3, it suffices to bound the gonality of $A_0(M(-, r))$. For all but finitely many r , $X_0(M(-, r))$ is contained in some $X_0(M)$. With Lemma 5.5, we conclude that for all but finitely many r , $A_0(M(-, r))$ is contained in some $\varpi_1(A_0(M))$. As there are only finitely many $A_0(M)$, it suffices to bound the gonality of all r such that $A_0(M(-, r))$ is contained in a fixed $\varpi_1(A_0(M))$.

The proof will follow from cases, depending on the possible dimensions of $\varpi_i(A_0(M))$. We will use the fact, proven in Lemma 5.5, that for all but finitely many r the set $A_0(M) \cap \mathcal{H}(r)$ is a finite union of curves, so that for such an r there is a curve component $C = C(r)$ of $A_0(M) \cap \mathcal{H}(r)$ such that $A_0(M(-, r))$ is contained in $\varpi_1(C)$.

First, assume that $\dim \varpi_1(A_0(M)) = \dim \varpi_2(A_0(M)) = 2$. In this case, $A_0(M)$ is a surface in \mathbb{C}^4 whose image under both ϖ_1 and ϖ_2 is dense in \mathbb{C}^2 . By Lemma 5.8 since $\dim \varpi_2(A_0(M)) = 2$, for all but finitely many r , there is an irreducible curve component $C = C(r)$ of $A_0(M) \cap \mathcal{H}(r)$ such that $A_0(M(-, r))$ is contained in the closure of $\varpi_1(C)$. Moreover, $\gamma(C) \sim \gamma(A_0(M(-, r)))$. Therefore it suffices to bound the gonality of any curve component C of $A_0(M) \cap \mathcal{H}$. By Lemma 3.12 since $C \subset A_0(M) \cap \mathcal{H}(r)$ and $\varpi_2(A_0(M))$ is dense in \mathbb{C}^2 it follows that $\gamma(C) \sim 1$. By the transitivity of \sim we conclude that $\gamma(A_0(M(-, r))) \sim 1$. (In this case, for all but finitely many r , the implied upper bounds for the gonality are as follows. From the projection ϖ_1 we have $\gamma(A_0(M(-, r))) \leq \gamma(C)$ and from ϖ_2 we have $\gamma(C) \leq \deg \varpi_2$, so that $\gamma(A_0(M(-, r))) \leq \deg \varpi_2$.)

Now we consider the case where $\dim \varpi_1(A_0(M)) = 1$. In this case $\varpi_1(A_0(M))$ is a curve; let D denote its closure. By Lemma 5.5 for all but finitely many r we have $A_0(M(-, r)) \subset D$. In this case, as r varies the the $A_0(M(-, r))$ are only a finite number of different curves. As a result, $\gamma(A_0(M(-, r)))$ is bounded by the maximum gonality of this finite set of curves and is independent of r .

Now, assume that $\dim \varpi_1(A_0(M)) = 2$ and $\dim \varpi_2(A_0(M)) = 1$. Let C be an irreducible curve component of $A_0(M) \cap \mathcal{H}(r)$ with $A_0(M(-, r))$ contained in the closure of $\varpi_1(C)$. By Lemma 5.8, since ϖ_1 is dense in \mathbb{C}^2 ,

$$\gamma(C) \sim \gamma(A_0(M(-, r))).$$

Therefore, it suffices to bound $\gamma(C)$. By Lemma 3.13, $\gamma(C) \sim 1$. (In this case, for all but finitely many r , the implied upper bounds for the gonality are as follows. >From the projection ϖ_1 we have $\gamma(A_0(M(-, r))) \leq \gamma(C)$. >From Lemma 3.13 the bound for $\gamma(C)$ in this case follows from the Brill Noether theorem and the genus degree formula as the degrees of the defining equations for any curve C is bounded independent of r . \square)

Remark 5.9. The proof of Theorem 1.1 implies that if $\dim \varpi_1(A_0(M)) = 1$, then $\varpi_1(A_0(M))$ is an irreducible curve and the curve $A_0(M(-, r))$ is the same for all r such that $\varpi_1(A_0(M))$ contains $A_0(M(-, r))$. A similar statement is true for $B_0(M(-, r))$. As there are only two characters of discrete faithful representations in $Y_0(M)$, there are at most two $B_0(M)$ and at most two projections.

It is possible that all $A_0(M(-, r))$ are the same if $\dim \varpi_2(A_0(M)) = 1$. This will occur if $\varpi_2(A_0(M) \cap \mathcal{H}(r))$ contains one of the common intersection points of the $\mathcal{C}(r) = \varpi_2(\mathcal{H}(r))$.

Remark 5.10. By Proposition 4.3 the bounds in Theorem 1.1 translate to bounds for other sets as well. We obtain an analogous statement for each of the sets

$$A_0(M(-, r)), B_0(M(-, r)), E_0(M(-, r)), X_0(M(-, r)), Y_0(M(-, r)).$$

For a given r such that $M(-, r)$ is hyperbolic, the correspondence between two such sets is established by a mapping between the sets. Even though this is ambiguous, any lift can be traced back to Y_0 so there is a correspondence between different lifts.

Remark 5.11. The authors do not know of any examples where the dimensions of $\varpi_1(A_0(M))$ and $\varpi_2(A_0(M))$ differ. When the dimensions are 1, then $A_0(M) \cong C_1 \times C_2$, with C_i is a curve in $\mathbb{C}^2(m_i, l_i)$. In this case, l_1 depends only on m_1 and l_2 depends only on m_2 . Hence, it follows from [17] Theorem 4.3 that the first cusp is geometrically isolated from the second (and vice versa).

6. Genus and Degree

The gonality of a curve is intimately related to both the (geometric) genus of the curve, and the degree of the curve. Like gonality, the genus is a birational invariant. The degree of a curve depends on the embedding of the curve. Assume that C is a curve with a specified embedding into some ambient space. Let g , d , and γ be the genus, degree, and gonality of C , respectively. The Brill-Noether theorem relates the genus and the gonality of a curve. It states that

$$\gamma \leq \lfloor \frac{g+3}{2} \rfloor.$$

The genus degree formula (the adjunction formula) states that if C is a smooth plane curve then

$$g = \frac{1}{2}(d-1)(d-2).$$

Each singularity of order s reduces the right hand side by $\frac{1}{2}s(s-1)$.

With Theorem 1.1 we can establish bounds for the genus. We have shown that the gonality is bounded. We now consider the variety $A_0(M(-, r))$ which naturally is contained in $\mathbb{C}^2(m, l)$.

Theorem 1.4. *Let M be a finite volume hyperbolic 3-manifold with two cusps. If $M(-, r)$ is hyperbolic, then there is a positive constant c_1 depending only on M and the framing of the second cusp such that*

$$d(A_0(M(-, r))) \leq c_1 \cdot h(r).$$

If one of the projections $\varpi_i(A_0(M))$ is a curve, then there is a positive constant c_2 depending only on M and the framing of the second cusp, such that

$$d(A_0(M(-, r))) \leq c_2.$$

Proof. First, we will bound the degree of (any irreducible component of) the intersection of a surface X in $\mathbb{C}^4(x_1, y_1, x_2, y_2)$ with $\mathcal{H}(r)$. As the dimension of $\mathcal{H}(r)$ is three and the dimension of X is two, the condition that they intersect in a curve implies that the intersection is proper. It is a consequence of Bézout's theorem (see [9] Theorem I,7.7) that with $r = p/q$, since $\deg \mathcal{H}(r) = \max\{|p|, |q|\}$, the degree of the intersection is at most

$$\deg(X \cap \mathcal{H}(r)) \leq \deg X \cdot \deg \mathcal{H}(r) = \deg X \cdot \max\{|p|, |q|\}.$$

For any variety $W \subset \mathbb{C}^4$ the degree of $\varpi_1(W)$ is at most the degree of W . To see this, assume that W intersects a complimentary hyperplane H in the point P . Then $\varpi_1(W)$ intersects $\varpi_1(H)$ in $\varpi_1(P)$. It suffices to see that we can take H so that $\varpi_1(H)$ is a complimentary hyperplane to $\varpi_1(W)$ and that this respects multiplicities.

Therefore, with $X = A_0(M)$ by Lemma 5.5, $A_0(M(-, r))$ is contained in the closure of $\varpi_1(A_0(M) \cap \mathcal{H}(r))$. We conclude that

$$\deg A_0(M(-, r)) \leq \deg(\varpi_1(A_0(M) \cap \mathcal{H}(r))) \leq \deg(A_0(M) \cap \mathcal{H}(r))$$

and by Bézout's theorem

$$\deg(A_0(M) \cap \mathcal{H}(r)) \leq \deg A_0(M) \max\{|p|, |q|\}.$$

This implies the first assertion.

Now assume that $\varpi_2(A_0(M))$ is a curve. Let $\{\varphi_i\}$ be a generating set for $\mathfrak{U}_{A_0(M)}$ where $\varphi_i = \varphi_i(x_1, y_1, x_2, y_2)$. Then $\mathfrak{U}_{A_0(M) \cap \mathcal{H}(r)}$ is generated by $\{\varphi_i(x_1, y_1, a_r, b_r)\}$ as in the proof of Lemma 3.13. The degree of $A_0(M) \cap \mathcal{H}(r)$ is bounded by the degrees of these equations. The map ϖ_1 is a projection. It follows that degree of the the image $\varpi_1(A_0(M) \cap \mathcal{H}(r))$ is bounded by the degree (in x_1 and y_1) of the $\varpi_i(x_1, y_1, x_2, y_2)$.

If $\varpi_1(A_0(M))$ is a curve, the result follows from Lemma 5.6. \square

We can bound the genus using the genus-degree formula. Specifically, we have the following.

Theorem 1.2. *Let M be a finite volume hyperbolic 3-manifold with two cusps. If $M(-, r)$ is hyperbolic, there is a positive constant c depending only on M and the framing of the second cusp such that*

$$g(A_0(M(-, r))) \leq c \cdot h(r)^2.$$

Proof. It suffices to consider curves of degree greater than two, since the genus of plane curves of smaller degree at most two is bounded. The theorem follows directly from the genus-degree formula which implies that if C is a plane curve of degree d then the genus of C is at most $\frac{1}{2}(d-1)(d-2)$, which is less than $\frac{1}{2}d^2$. By Theorem 1.4 there is a constant c such that $d \leq ch(r)$ so that the genus is bounded by $\frac{1}{2}c^2h(r)^2$. □

Remark 6.1. For $r = p/q$ with $pq \neq 0$ this is

$$g(A_0(M(-, r))) \leq c \max\{p^2, q^2\}.$$

This statement can also be made for $B_0(M(-, r))$. These genus bounds do not appear sharp. In § 8.2 we will show that the double twist knots the genus is linear in the number of twists in each twist region. Similarly, the genus bounds from [1] are linear in $h(r)$.

7. Newton Polygons

Bounds for the genus and the gonality of a plane curve can be obtained from the associated Newton Polygon. Let $f \in \mathbb{C}[x^{\pm 1}, y^{\pm 1}]$ be an irreducible Laurent polynomial which defines a curve $V(f)$ in $(\mathbb{C} - \{0\})^2$. Let $\Delta(f)$ be the associated Newton polygon of f . In 1893, Baker related the genus of such a curve to the volume of the Newton Polygon.

Theorem 7.1 (Baker). *The geometric genus of $V(f)$ is at most the number of lattice points in the interior of $\Delta(f)$.*

Recently, Castryck and Cools showed a similar relationship for the gonality of $V(f)$. A \mathbb{Z} -affine transformation is a map from \mathbb{R}^2 to \mathbb{R}^2 of the form $x \mapsto Ax + b$ where $A \in \text{GL}_2(\mathbb{Z})$ and $b \in \mathbb{Z}^2$. We say that two lattice polygons Δ and Δ' are equivalent if there is a \mathbb{Z} -affine transformation φ such that $\varphi(\Delta) = \Delta'$. The lattice width of Δ is the smallest integer $s \geq 0$ such that there is a \mathbb{Z} -affine transformation φ such that $\varphi(\Delta)$ is contained in the horizontal strip $\{(x, y) \in \mathbb{R}^2 : 0 \leq y \leq s\}$.

Theorem 7.2 (Castryck-Cools). *The gonality of $V(f)$ is at most the lattice width of $\Delta(f)$.*

Culler and Shalen [5] showed that if M has only one cusp, then $X_0(M)$ determines a norm on $H_1(\partial M, \mathbb{R})$. This norm encodes many topological properties of M including information about the Dehn fillings of M . This construction can be applied to other curve components of $X(M)$ yielding a semi norm in general. Culler and Shalen, and Boyer and Zhang [3] used these semi norms to study surfaces in 3-manifolds. Boyer and Zhang also extended this semi norm to $Y(M)$.

We observe the following about non-norm curve components.

Theorem 7.3. *Let M be a compact, connected, irreducible, ∂ -irreducible 3-manifold with boundary torus. Let $Y \subset Y(M)$ be a curve which is not a norm curve. Then Y is birational to \mathbb{C} .*

Proof. By Boyer and Zhang [3] (Proposition 5.4.3), if M has one cusp any norm curve of $X(M)$ maps to a component of the A -polynomial whose Newton polygon is two-dimensional, and any curve that is not a norm curve maps to a component of the A -polynomial that is one-dimensional or zero-dimensional. Therefore, the

Newton polygon of a non-norm curve is a line segment or a point. This has empty interior, so by Baker's theorem, or Castryck and Cools' Theorem, the geometric genus is zero. It follows that the curve is birational to \mathbb{C} . \square

8. Examples

Theorem 1.1 shows that the gonality of $X_0(M(-, r))$ is bounded independent of r . In general, it is difficult to determine the exact gonality of such curves, as explicit computations of character varieties are difficult and only a few infinite families have been computed. In this section, we will compute the gonality of the character varieties associated to two families of manifolds which arise as Dehn surgeries. These examples will demonstrate that the linear bounds in Theorem 1.4 are sharp, and moreover that there are character varieties with arbitrarily large gonality. All of the filled manifolds in the following examples are knot complements in S^3 . For these $M(-, r)$, $A_0(M(-, r))$ is birational to $X_0(M(-, r))$.

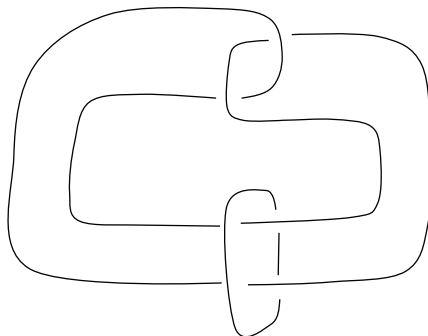


Figure 3. The once-punctured torus bundle of tunnel number one, M_n , is $-(n+2)$ surgery on one component of the Whitehead link, shown above.

8.1. Once-punctured torus bundles of tunnel number one. The family of once-punctured torus bundles of tunnel number one is an infinite family of one-cusped manifolds, indexed as $\{M_n\}$ for $n \in \mathbb{Z}$. These manifolds are hyperbolic for $|n| > 2$ and can be realized as integral fillings of one component of the Whitehead link complement, W ; the manifold M_n is $W(-, -(n+2))$.

The character varieties of these manifolds were explicitly computed in [1]. For each hyperbolic M_n there is a single canonical component in the $\mathrm{SL}_2(\mathbb{C})$ character variety. The curves $X_0(M_n)$ are all hyperelliptic curves of genus $\lfloor \frac{1}{2}(|n-1|-1) \rfloor$ if $n \not\equiv 2 \pmod{4}$ and $\lfloor \frac{1}{2}(|n-1|-3) \rfloor$ if $n \equiv 2 \pmod{4}$. (The variety $X_0(M_3)$ associated to the figure-eight knot sister is a rational curve. The variety $X_0(M_{-3})$ associated to the figure-eight knot complement and the variety $X_0(M_6)$ are elliptic curves.) The discrepancy arising when $n \equiv 2 \pmod{4}$ is due to the fact that in this case there are two components of irreducible representations, the canonical component and an affine line component.

For this family $r = p/q = -(n+2)/1$; the gonality of $X_0(M_n)$ is bounded by 2, and the genus is increasing linearly in p . Note that Theorem 1.2 gives an upper bound of the form $c \cdot p^2$ for the genus. The canonical components of the $\mathrm{PSL}_2(\mathbb{C})$ character variety are all birational to \mathbb{C}^1 and we conclude that here both the gonality and the genus are bounded by the constant one.

8.2. Double Twist Knots. The double twist knots are the knots $J(k, l)$ shown in the right hand side of Figure 4. When exactly one of k and l is odd, $J(k, l)$ is a knot. When k and l are even, these knots are the result of $-1/k$ surgery and $-1/l$ surgery on two components of the link shown in the left hand side of Figure 4 where k and l are the number of half twists in the boxes. When k and l are odd, this is a two component link and is the result of $-1/k$ surgery and $-1/l$ surgery on two components of a similar link with half twists added (see Figure 5); these links are dealt with in the next section. Since $J(k, l)$ is ambient isotopic to $J(l, k)$ we may assume that $l = 2n$. The knot complement of $J(k, l)$ is hyperbolic unless $|k|$ or $|l|$ is less than two, or $k = l = \pm 2$. The knots with $|k|$ or $|l|$ equal to two are the twist knots. These include the figure-eight knot, $J(2, -2) = J(-2, 2) = J(2, 3)$ and the trefoil $J(2, 2) = J(-2, -2)$. We now restrict our attention to these hyperbolic knots.

Let $Y_0(k, l)$ denote $Y_0(S^3 - J(k, l))$, and $X_0(k, l)$ denote $X_0(S^3 - J(k, l))$. For a fixed k_0 , by Theorem 1.1

$$\gamma(Y_0(k_0, l)) \sim c = c(k_0).$$

A similar statement is true if we fix l .

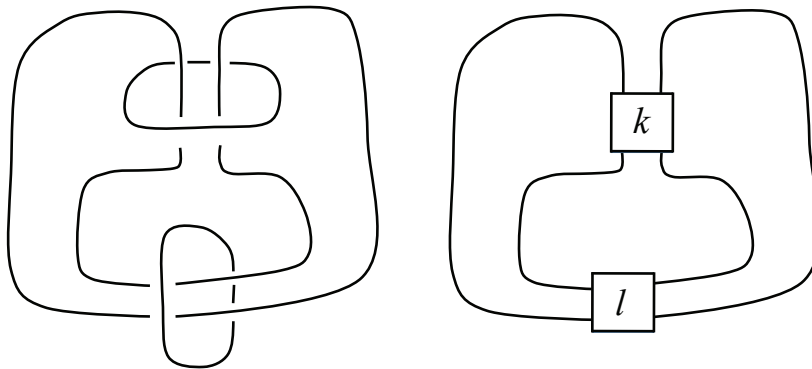


Figure 4. The $J(k, l)$ knot is the result of $-1/k$ and $-1/l$ surgery on the three component link pictured on the left.

The character varieties of these knot complements were computed in and analyzed in [14]. The component of the $\mathrm{PSL}_2(\mathbb{C})$ character variety of the double twist knot $S^3 - J(k, l)$ which contain irreducible representations is birationally equivalent to the curve defined by the following equations in $\mathbb{P}^1 \times \mathbb{P}^1$:

$$(*) \quad \begin{aligned} g_{m'+1}(r)g_{n'}(t) &= g_{m'}(r)g_{n'+1}(t) & \text{if } k = 2m', l = 2n' \\ f_{m'+1}(r)g_{n'}(t) &= f_{m'}(r)g_{n'+1}(t) & \text{if } k = 2m' + 1, l = 2n'. \end{aligned}$$

(The polynomials f_i and g_i were introduced in Definition 5.1.) These curves are smooth and irreducible in $\mathbb{P}^1 \times \mathbb{P}^1$ when $k \neq l$. When $k = l$ the canonical component is given by $r = t$. The genus can be computed from the bidegree of these polynomials. Let $m = \lfloor \frac{|k|}{2} \rfloor$ and $n = \lfloor \frac{|l|}{2} \rfloor$ and let $D(k, l)$ be the projective closure of the variety determined by the equations (*) in $\mathbb{P}^1(r) \times \mathbb{P}^1(t)$. When $k \neq l$ the bidegree is (m, n) . The genus of $X_0(k, l)$ is determined by studying the ramification of the map from $X_0(k, l)$ to $Y_0(k, l)$.

Theorem 1 ([14] Theorems 6.2 and 6.5). Let $J(k, l)$ be a hyperbolic with $m = \lfloor \frac{|k|}{2} \rfloor$. If $k \neq l$ the genus of $Y_0(k, l)$ is $(m - 1)(n - 1)$ and the genus of $X_0(k, l)$ is $3mn - m - n - b$ where

$$b = \begin{cases} (-1)^{k+l} & \text{if } (-1)^{k+l}kl > 0 \\ 0 & \text{otherwise} \end{cases}$$

The genus of $Y_0(k, k)$ is zero and the genus of $X_0(k, k)$ is $n - 1$.

We will compute the gonality of these curves by relating the gonality to the degree of the curves. In 1883 Noether [18] proved that a non-singular plane curve of degree $d \geq 2$ in \mathbb{P}^2 has gonality equal to $d - 1$, where d is the degree of the curve. (See [16] Theorem 2.3.1, page 73.) Certain well-behaved singularities are known to modify this relationship in an understood manner. The curves determined in [14] are smooth, but lie in $\mathbb{P}^1 \times \mathbb{P}^1$; the birational mapping to \mathbb{P}^2 introduces singularities in the curves. We now prove a $\mathbb{P}^1 \times \mathbb{P}^1$ version of Noether's theorem by understanding this mapping.

Lemma 8.1. *Let C be a smooth irreducible curve in $\mathbb{P}^1 \times \mathbb{P}^1$ of bidegree (M, N) where $MN \neq 0$, then $\gamma(C) = \min\{M, N\}$.*

(If $M = 0$ or $N = 0$ then C is isomorphic to \mathbb{P}^1 and therefore has gonality one.)

Proof. Consider an irreducible curve $C \subset \mathbb{P}^2$ of degree d . Let ν be the maximum multiplicity of a singular point of C . The projection map from the point with multiplicity ν to a line has degree $d - \nu$, so we conclude that $\gamma(C) \leq d - \nu$. Ohkouchi and Sakai [19] (Theorem 3) show that if C has at most two singular points (or infinitely near singular points), then $\gamma(C) = d - \nu$. (See [15] page 194 for a discussion of infinitely near points.)

We consider the birational mapping $\phi : \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^2$ given by

$$([x : w], [y : z]) \mapsto [wy : zx : xy].$$

This has birational inverse given by

$$\phi^{-1}([a : b : c]) = ([c : a], [c : b]).$$

Let D be a smooth curve of bidegree (M, N) in $\mathbb{P}^1 \times \mathbb{P}^1$ with $M \geq N$. It suffices to show that $\nu = M$, $\deg(\phi(D)) = M + N$, and $\phi(D)$ has exactly two singular or infinitely near singular points; for then Ohkouchi and Sakai's result implies that $\gamma(\phi(D)) = d - \nu = N$. Since ϕ is birational, $\gamma(D) = \gamma(\phi(D))$ proving the result.

If D is a smooth curve of bidegree (M, N) then D is the vanishing set of a polynomial $f(x, y)$ after projectivizing in $\mathbb{P}^1 \times \mathbb{P}^1$. If $f(x, y) = \sum c_{n,m} x^n y^m$ the projectivization of f is

$$F(x, w, y, z) = \sum c_{n,m} x^n w^{N-n} y^m z^{M-m}.$$

When $x = 0$ (therefore $w = 1$) this reduces to $\sum c_{0,M} y^M z^{M-m}$. We conclude that there are M points on D such that $x = 0$. Similarly there are N points corresponding to $y = 0$ (and $z = 1$). When the polynomial has a constant term, then these points do not coincide. If f has no constant term, then $([0 : 1], [0 : 1]) \in D$. We will assume, by taking an isomorphic copy of D , if necessary, that $([0 : 1], [0 : 1]) \notin D$, so f has a constant term.

Under the birational mapping, $\phi([0 : 1], [y : z]) = [y : 0 : 0] = [1 : 0 : 0]$ (if $y \neq 0$). Likewise, $\phi([x : w], [0 : 1]) = [0 : x : 0] = [0 : 1 : 0]$ (if $x \neq 0$). It follows that the N points above get identified, as do the M points. The image $C = \phi(D)$ in \mathbb{P}^2 has a singularity of order M and one of order N . It is straightforward to see that if $xy \neq 0$ then a neighborhood of the point $([x : w], [y : z])$ maps smoothly into \mathbb{P}^2 . Therefore $\phi(D)$ has exactly two singular points, one of degree M and one of degree N .

The degree of C is given by the intersection number CH where H' is a hyperplane section of \mathbb{P}^2 . Similarly, the degree of D is the intersection number DH where H is a hyperplane section of $\mathbb{P}^1 \times \mathbb{P}^1$. The divisor class group of $\mathbb{P}^1 \times \mathbb{P}^1$ is $Cl(\mathbb{P}^1 \times \mathbb{P}^1) = \mathbb{Z} \oplus \mathbb{Z}$. Let $E = \mathbb{P}^1 \times \{x\}$ and $F = \{x\} \times \mathbb{P}^1$. Then D is linearly equivalent to $ME + NF$, that is $D \sim ME + NF$. (Two divisors are linearly equivalent if their difference is a principal divisor.) The curves of bidegree (M, N) correspond to the effective divisors of the class $ME + NF$. Similarly, $H \sim E + F$.

Therefore, since the degree of a divisor depends only on the linear equivalence class, $deg(D) = deg(ME + NF)$. Since the degree of D is the intersection number DH , and $D \sim ME + NF$ and $H \sim E + F$ it follows that the degree of D is the intersection number of $ME + NF$ and $E + F$, which is $M + N$. □

We now use this to determine the gonality of the curves $Y_0(k, l)$.

Theorem 8.2. *Let $J(k, l)$ be a hyperbolic knot and let $m = \lfloor \frac{1}{2}|k| \rfloor$ and $n = \lfloor \frac{1}{2}|l| \rfloor$.*

If $k \neq l$ then $\gamma(Y_0(k, l)) = \min\{m, n\}$.

If $k = l$ then $\gamma(Y_0(k, l)) = 1$.

Proof. The equations (*) defining $Y_0(k, l)$ are irreducible and determine smooth curves in $\mathbb{P}^1 \times \mathbb{P}^1$ by [14]. These equations have bidegree (m, n) if $k \neq l$. By Lemma 8.1 if $nm \neq 0$ the gonality of $Y_0(k, l)$ is $\min\{m, n\}$. If $m, n = 0$ then $k = 0, \pm 1$ or $l = 0, \pm 1$ and $J(k, l)$ is not hyperbolic. It suffices to consider the case when $k = l = 2n'$. In this case, the canonical component for the $PSL_2(\mathbb{C})$ character variety is isomorphic to $\mathbb{P}^1 \times \mathbb{P}^1$, given by $r = t$. We conclude that the gonality is one. □

Corollary 8.3. *For all positive integers n there are infinitely many double twist knots K such that*

$$\gamma(Y_0(S^3 - K)) = n.$$

Now we compute the gonality of the canonical components of the $SL_2(\mathbb{C})$ character varieties. By Lemma 3.5 since $X_0(k, l)$ is a degree 2 branched cover of $Y_0(k, l)$ we conclude that

$$\min\{m, n\} \leq \gamma(X_0(k, l)) \leq 2 \min\{m, n\}.$$

We now explicitly show that the gonality equals this upper bound.

Theorem 8.4. *Let $J(k, l)$ be a hyperbolic knot and let $m = \lfloor \frac{1}{2}|k| \rfloor$ and $n = \lfloor \frac{1}{2}|l| \rfloor$.*

If $k \neq l$ then $\gamma(X_0(k, l)) = 2 \min\{m, n\}$.

If $k = l$ then $\gamma(X_0(k, l)) = 2$.

Proof. We first consider the case when $k = l = 2n'$. By [14], a ‘natural model’ for $X_0(2n', 2n') \subset \mathbb{C}^2(x, r)$ is given by

$$x^2 = \frac{(r+2)f_{n'}(r)^2 - 1}{f_{n'}(r)^2}$$

and is birational to the hyper elliptic curve

$$w^2 = (r+2)f_{n'}(r)^2 - 1.$$

This has genus $n' - 1$ by [1]. If $J(k, k)$ is hyperbolic then $|n'| > 2$ and the gonality of $X_0(k, k)$ is two.

Now, assume that $k \neq l$ and $J(k, l)$ is hyperbolic. If m or n is one, then one can verify directly from the defining equations that $X_0(k, l)$ is an elliptic or hyperelliptic curve and therefore has gonality two. We will now assume that m and n are at least two.

Consider the following situation. Let X and Y be smooth curves of genus g_X and g_Y and let $f : X \rightarrow Y$ be a degree k simple morphism and $h : X \rightarrow \mathbb{P}^1$ a degree d morphism. (A simple morphism is one that does not factor through a nontrivial morphism.) The Castelnuovo-Severi inequality (see [4] Theorem 2.1) states that if

$$(*) \quad d \leq \frac{g_X - kg_Y}{k-1}$$

then h factors through f .

Let X be a smooth projective model for $X_0(k, l)$ and Y a smooth projective model for $Y_0(k, l)$. Since there is a degree two birational map from $X_0(k, l)$ to $Y_0(k, l)$, by Stein Factorization, there is a degree two morphism from X to Y .

>From [14], we have a degree $k = 2$ mapping, with $g_X = 3mn - m - n - b$ (for an explicitly determined $b \in \{0, \pm 1\}$) and $g_Y = (m-1)(n-1)$. Therefore, the righthand side of the inequality is

$$g_X - 2g_Y = (3mn - m - n - b) - 2(m-1)(n-1) = mn + m + n - b - 2.$$

Since $b \in \{0, \pm 1\}$ the right-hand side of $(*)$ is at most $mn + m + n - 1$. We conclude that any dense map from $X_0(k, l)$ to \mathbb{P}^1 of degree at most $mn + m + n - 1$ factors through a map from $Y_0(k, l)$ to \mathbb{P}^1 . Let d be the minimal degree of a map from $X_0(k, l)$ to \mathbb{P}^1 . Since the gonality of $Y_0(k, l)$ is $\min\{m, n\}$ we conclude that

$$\min\{m, n\} \leq d \leq 2 \min\{m, n\}.$$

Since m and n are at least two,

$$d \leq 2 \min\{m, n\} \leq mn + m + n - 1.$$

By the Castelnuovo-Severi inequality, the map factors through $Y_0(k, l)$. As the gonality of $Y_0(k, l)$ is $\min\{m, n\}$ by Lemma 8.2 we conclude that the smallest degree of a map from $X_0(k, l) \rightarrow \mathbb{P}^1$ is $2 \min\{m, n\}$. □

Remark 8.5. The Brill-Noether theorem gives the bound $\gamma \leq \lfloor \frac{g+3}{2} \rfloor$ where g is the genus. For $Y_0(k, l)$ this inequality is

$$\min\{m, n\} = \gamma \leq \lfloor \frac{1}{2}(mn - m - n + 2) \rfloor$$

so the gonality is smaller than the Brill-Noether bound. For $k = l$ the genus of $Y_0(k, l)$ is zero and the gonality is one, meeting the Brill-Noether bound. The examples with $k \neq l$ show that for any N there is a double twist knot (a twist knot, in fact) such that $\frac{1}{2}(g + 3) - \gamma > N$. That is, the discrepancy between the gonality and the Brill-Noether bound is unbounded in this family.

Remark 8.6. Hoste and Shanahan have computed a recursive formula for the A -polynomials of the twist knots [11], the knots $J(\pm 2, n)$ and shown that these polynomials are irreducible. Their results show that the degree of the A -polynomials grow linearly in n . This shows that the linear bounds in Theorem 1.4 are sharp, since the twist knots are $-1/n$ surgery on one component of the Whitehead link.

8.3. Double Twist Links. Similar to the double twist knots, the double twist links are a family of two component links which are all two-bridge links. We will use the notation as above for the character varieties of these sets where k and l are the number of half twists in the boxes indicated below. These are hyperbolic when $|k|$ and $|l|$ are greater than one.

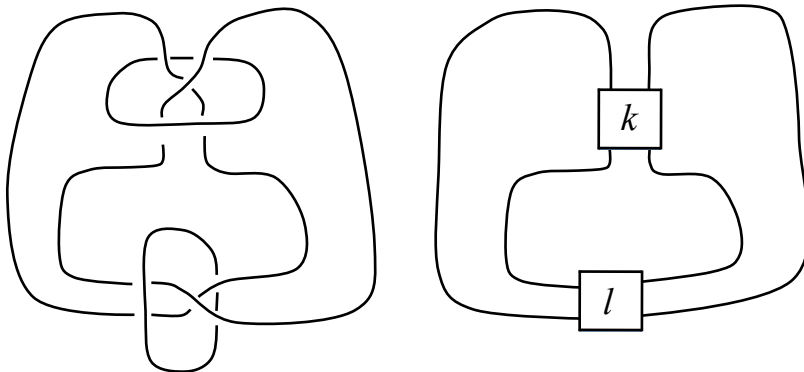


Figure 5. The two component link $J(k, l)$ is the result of $-1/k$ and $-1/l$ surgery on the four component link pictured on the left.

The $SL_2(\mathbb{C})$ character varieties of these links were studied in [20], where natural and smooth models were determined. All irreducible components containing characters of irreducible representations are surfaces of the form $C \times \mathbb{C}$ where C is a curve. The genus of the curves C were determined. The degree of irrationality of a surface of the form $C \times \mathbb{C}$ is equal the gonality of C (see [29] (Prop 1) and [27]), and using the techniques established above, this was computed as well.

Theorem 2 ([20] Theorems 1.3 and 1.4). Let k and l be odd. If $k \neq l$ then the algebraic set $X_0(k, l)$ is birational to $C_0(k, l) \times \mathbb{C}$ where $C_0(k, l)$ is a curve of genus

$$\left(\lfloor \frac{|k|}{2} \rfloor - 1\right)\left(\lfloor \frac{|l|}{2} \rfloor - 1\right)$$

and degree of irrationality

$$\min\left\{\lfloor \frac{|k|}{2} \rfloor, \lfloor \frac{|l|}{2} \rfloor\right\}.$$

If $k = l$ and $|l| \geq 3$ then $X_0(l, l)$ has the form $C_0(l, l) \times \mathbb{C}$ where $C_0(l, l)$ is a curve of genus zero and degree of irrationality one.

This produces an example where filling two cusps of a four cusped link produces a family of (two-cusped) manifolds where the degree of irrationality grows without bound.

9. Gonality, Injectivity Radius, and Eigenvalues of the Laplacian

Since the smooth projective model of a (possibly singular) affine curve is a compact Riemann surface, it can also be viewed as a quotient of the Poincaré disk by a discrete group of isometries of the Poincaré disk, and as such it is natural to try to relate gonality to geometric and analytical properties associated to the hyperbolic metric; for example the injectivity radius, ϱ (i.e. half of the length of a shortest closed geodesic), or the first non-zero eigenvalue of the Laplacian, λ_1 .

To that end, a famous result of Li and Yau [13] shows that for a compact Riemann surface of genus g , a bound for the gonality γ is given by:

$$\lambda_1(g-1) \leq \gamma$$

where γ is the gonality defined using regular maps. Since the (rational) gonality of a complex affine algebraic curve equals the (regular) gonality of a smooth projective completion, this bound applies to smooth projective models of character varieties. A Riemann surface of genus zero is rational and so has gonality one, and a Riemann surface of genus one is an elliptic curve and so has gonality two. For any higher genus, the above bound implies that

$$\lambda_1 \leq \frac{\gamma}{g-1}.$$

By Theorem 1.1 the (rational) gonality of $X_0(M(-, r))$ is bounded independent of r , and therefore so is the (regular) gonality, γ , of a smooth projective model. We conclude that λ_1 is bounded above independent of r for these curves. Moreover, if $\{r\}$ is sequence of rational numbers such that the genus of the character varieties grows, then since γ is bounded

$$\lambda_1 \leq \frac{\gamma}{g-1} \rightarrow 0.$$

For hyperbolic double twist knots the genera of $Y_0(k, l)$ and $X_0(k, l)$ was determined in [14]. (See § 8.2.) The genus of $X_0(k, l)$ is less than two only for the complements of the knots $J(2, -2)$ (the figure-eight) and $J(4, 4)$; both have genus 1. The only hyperbolic double twist knots such that the genus of $Y_0(k, l)$ is less than two are $J(k, k)$, $J(2, -2)$, $J(2, -3)$ which have genus zero, and $J(4, \pm 5)$ which have genus one. When $k \neq l$, we obtain the following inequalities using Theorem 1

$$\lambda_1(Y_0(k, l)) \leq \frac{\min\{m, n\}}{(m-1)(n-1)}, \quad \lambda_1(X_0(k, l)) \leq \frac{2 \min\{m, n\}}{3mn - m - n - b}$$

where $X_0(k, l)$ and $Y_0(k, l)$ denote smooth projective models and $n = \lfloor \frac{1}{2}|k| \rfloor$ and $m = \lfloor \frac{1}{2}|l| \rfloor$. Both quantities $\rightarrow 0$ as m or $n \rightarrow \infty$. For the once-punctured torus bundles of tunnel number one, (see § 8.1) let $X_0(M_n)$ denote a smooth projective model. Then for $|n| > 4$

$$\lambda_1(X_0(M_n)) \leq \frac{2}{\lfloor \frac{1}{2}(|n-2|-2) \rfloor} \leq \frac{4}{|n-1|-3}$$

and we conclude that $\lambda_1 \rightarrow 0$ as $|n| \rightarrow \infty$.

More recently Hwang and To [12] show that the injectivity radius ϱ of a compact Riemann surface of genus $g \geq 2$ is bounded above by a function of gonality (defined for regular maps),

$$\varrho \leq 2 \cosh^{-1}(\gamma).$$

With $X_0(M(-, r))$ denoting a smooth projective model, Theorem 1.1 implies that there is a constant c such that the gonality of these varieties is bounded above by c and therefore there is a constant d such that

$$\varrho(X_0(M(-, r))) \leq 2 \cosh^{-1}(\gamma) = d.$$

It follows that the injectivity radius is bounded above for all $X_0(M(-, r))$, such that $g \neq 0, 1$.

By Theorem 8.4 and Theorem 8.2 for all hyperbolic double twist knots other than the figure-eight, if $k \neq l$

$$\varrho(X_0(k, l)) \leq 2 \cosh^{-1}(2 \min\{m, n\}), \quad \text{and} \quad \varrho(Y_0(k, l)) \leq 2 \cosh^{-1}(\min\{m, n\}).$$

If $k = l$ then $\varrho(X_0(k, l)) \leq 2 \cosh^{-1}(2)$. For all once-punctured torus bundles of tunnel number one with $|n| > 6$, $X_0(M_n)$ is a hyper elliptic curve. We conclude that for the corresponding smooth projective models the injectivity radius is bounded by $2 \cosh^{-1}(2)$.

Motivated by the discussion here, we close with two questions. To state these define an infinite family of compact Riemann surfaces $\{\Sigma_j\}$ to be an *expander family* if the genera of $\Sigma_j \rightarrow \infty$ and there is a constant $C > 0$ for which $\lambda_1(\Sigma_j) > C$. One such family are congruence arithmetic surfaces.

Question: (1) Does there exist an infinite family of 1-cusped hyperbolic 3-manifolds M_j for which $X_0(M_j)$ (resp. $Y_0(M_j)$) is an expander family?

(2) Does there exist an infinite family of 1-cusped hyperbolic 3-manifolds M_j for which $X_0(M_j)$ (resp. $Y_0(M_j)$) has arbitrarily large injectivity radius?

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