

Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

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Stanford University

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Background and
Motivation

BE Basics

Applications

Future Directions

Minimal Surfaces

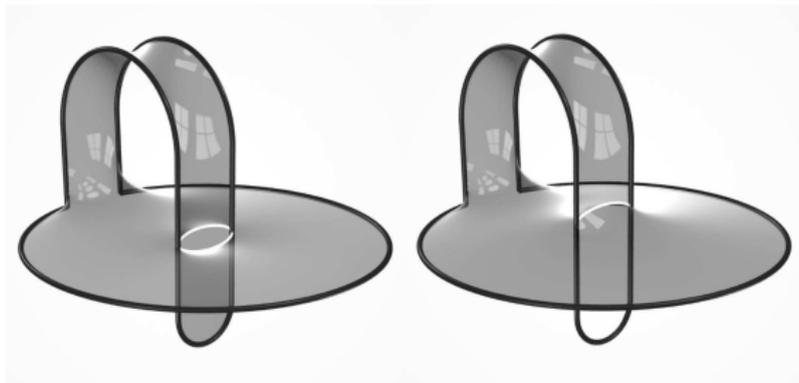


Figure: Plateau's problem, 2 different minimal surface solutions

Minimal Surfaces

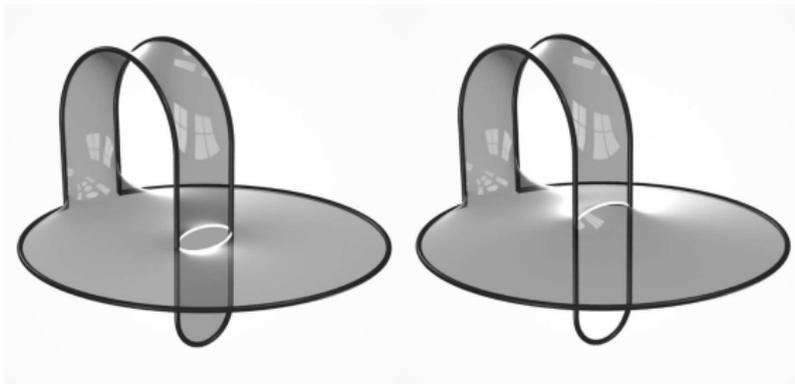


Figure: Plateau's problem, 2 different minimal surface solutions



Figure: Taut circus tent
minimizing energy.

Minimal Surfaces

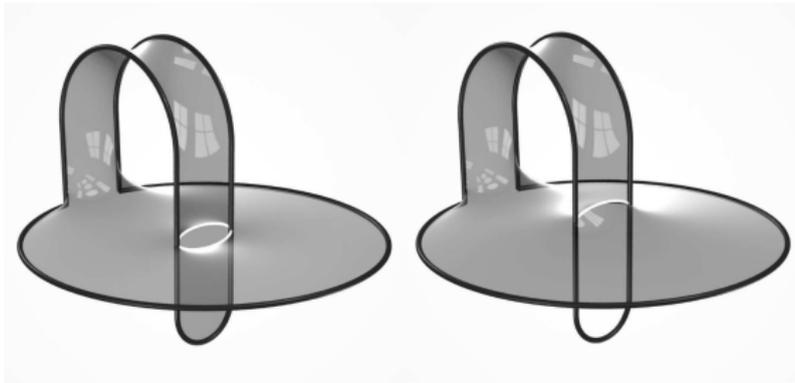


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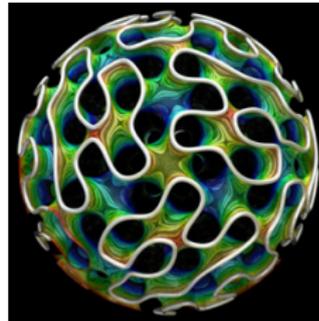
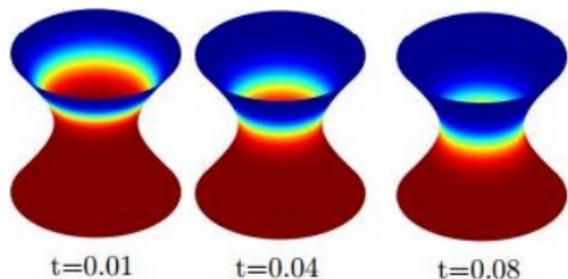


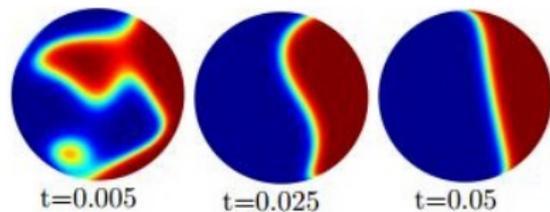
Figure: Gyroid (Alan Schoen)

(Continued)



Figure

► Interface in phase separations/transitions



Figure

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Allen–Cahn Background

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$$E_\epsilon(u) = \int_M \epsilon \frac{|\nabla^g u|^2}{2} + \frac{W(u)}{\epsilon} \quad (2)$$

$$W(u) = \frac{(1-u^2)^2}{4}.$$

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- ▶ Γ -convergence (**Modica-Mortola**, '77):

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- ▶ Gluing (**Pacard-Ritore**, '03): Near a minimal surface, one can find a solution to (1)

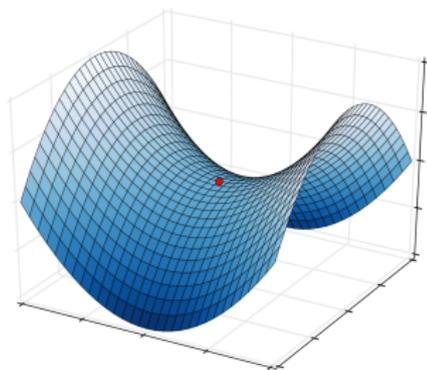
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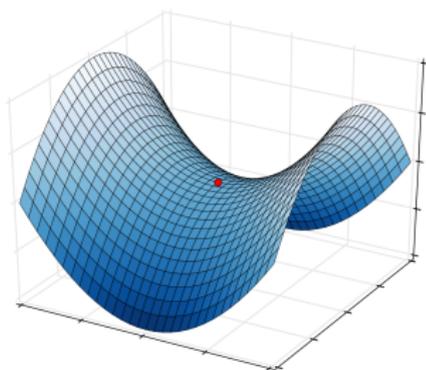
Future Directions

▶ Index and Nullity bounds:



Figure

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Figure

- ▶ $\{u_\epsilon\}$ solutions with $u_\epsilon^{-1}(0) \rightarrow Y$ minimal (nicely) as $\epsilon \rightarrow 0$,

Motivation

- ▶ $E_\epsilon(u)$ defined for *all* $u \in H^1$, not just those with $u_\epsilon^{-1}(0)$ “well behaved” hypersurface

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Motivation

- ▶ $E_\epsilon(u)$ defined for *all* $u \in H^1$, not just those with $u_\epsilon^{-1}(0)$ “well behaved” hypersurface
- ▶ Only interested in Allen–Cahn in connection to minimal surfaces
 - ▶ only look at $u \in H^1$ vanishing on hypersurfaces?

BE Set up

- ▶ (M^n, g) closed manifold, $Y^{n-1} \subseteq M^n$ separating, closed hypersurface

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BE Set up

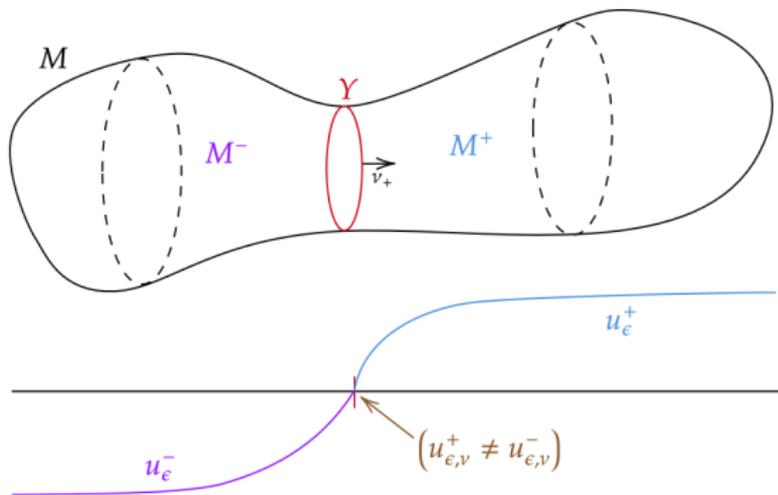
- ▶ (M^n, g) closed manifold, $Y^{n-1} \subseteq M^n$ separating, closed hypersurface
- ▶ Exists unique solutions, u_ϵ^\pm , on M^\pm vanishing on Y
- ▶ Define the “Balanced Energy”

$$\text{BE}_\epsilon(Y) := E_\epsilon(u_\epsilon^+, M^+) + E_\epsilon(u_\epsilon^-, M^-)$$

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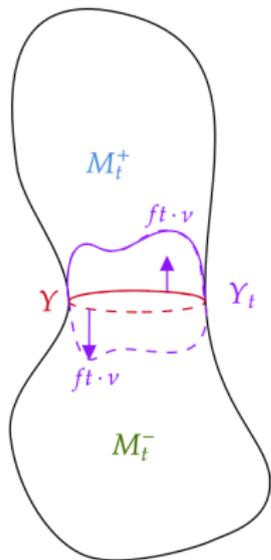
Figure

1st Variation

Theorem (MK, Silva)

The first variation is given by

$$\frac{d}{dt} BE_\epsilon(Y_t) \Big|_{t=0} = \frac{\epsilon}{2} \int_Y f [(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$



Figure

2nd Variation

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2nd Variation

Theorem (MK, Silva)

Let Y a critical point for BE_ϵ . The second variation is given by

$$\frac{d^2}{dt^2} BE_\epsilon(Y_t) \Big|_{t=0} = \epsilon \int_Y f u_\nu [\dot{u}_{\epsilon,\nu}^+ - \dot{u}_{\epsilon,\nu}^-]$$

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If Y satisfies mild geometric assumptions, then

$$\begin{aligned} \frac{d^2}{dt^2} BE_\epsilon(Y_t) \Big|_{t=0} &= D^2 A|_Y(f) + E(f) \\ |E(f)| &\leq K \epsilon^{1/2} \|f\|_{H^1}^2 \end{aligned}$$

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Remarks

- ▶ \dot{u}_ϵ^\pm satisfies linearized Allen–Cahn system on M^\pm

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Remarks

- ▶ \dot{u}_ϵ^\pm satisfies linearized Allen–Cahn system on M^\pm
- ▶ Error bound relies on invertibility of $\epsilon^2 \Delta_g - W''(u) : H_0^1(M^+) \rightarrow H_0^{-1}(M^-)$

Applications: Fischer-Colbrie-Schoen Mimic

Let M^3 complete 3-manifold with $R \geq 0$ and $Y^2 \subseteq M^3$, compact.

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Theorem (Fischer-Colbrie-Schoen)

If Y is a stable minimal surface, then Y conformally equivalent to (S^2, g_{round}) or a totally geodesic flat torus T^2 .

If $R > 0$ on M then only S^2 can occur

Applications: Fischer-Colbrie-Schoen Mimic

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Theorem (Fischer-Colbrie-Schoen)

*If Y is a stable minimal surface, then Y conformally equivalent to (S^2, g_{round}) or a totally geodesic flat torus T^2 .
If $R > 0$ on M then only S^2 can occur*

Theorem (MK, Silva)

If Y is a stable critical point for BE_ϵ (satisfying mild geometric constraints) then Y is either conformally equivalent to (S^2, g_{round}) or Y is topologically a torus and

$$\|A_Y\|_{L^2(Y)}^2 \leq K\epsilon^{1/2}$$

for K independent of ϵ .

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2nd Variation, 2nd Perspective

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2nd Variation, 2nd Perspective

Theorem

Let $Y \leftrightarrow u_\epsilon$ a critical point for BE_ϵ . Then

$$Ind_{AC}(u_\epsilon) = Ind_{BE_\epsilon}(Y)$$

$$Null_{AC}(u_\epsilon) = Null_{BE_\epsilon}(Y)$$

2nd Variation, 2nd Perspective

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Let $Y \leftrightarrow u_\epsilon$ a critical point for BE_ϵ . Then

$$\text{Ind}_{AC}(u_\epsilon) = \text{Ind}_{BE_\epsilon}(Y)$$

$$\text{Null}_{AC}(u_\epsilon) = \text{Null}_{BE_\epsilon}(Y)$$

► Let $Q(u_\epsilon)(v) = \left. \frac{d^2}{dt^2} E_\epsilon(u + tv) \right|_{t=0}$. Recall

$$\text{Ind}_{AC}(u) := \max\{\dim V \mid V \subseteq H^1(M), Q(u)|_{(V,V)} < 0\}$$

$$\text{Null}_{AC}(u) := \dim \ker(\epsilon^2 \Delta_g - W''(u))$$

(kernel is in $H^1(M)$)

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► Theorem says we can compute index/nullity on *smaller space* of

$$W = \{\dot{w}(f) \in H^1(M) \mid f \in H^1(Y), \epsilon^2 \Delta_g \dot{w} = W''(u) \dot{w},$$

$$\dot{w}|_Y = -f u_\nu\}$$

Proof Sketch

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Proof Sketch

- ▶ Want to compute $\frac{d^2}{dt^2} E_\epsilon(u + tv)$

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► Want to compute $\frac{d^2}{dt^2} E_\epsilon(u + tv)$

► Let

$$Y_t = (u + tv)^{-1}(0)$$

and M_t^\pm accordingly

► Let $\dot{\psi} = \partial_t \psi \Big|_{t=0}$, then

$$\frac{d^2}{dt^2} E_\epsilon(u + tv) \stackrel{!}{=} \frac{d^2}{dt^2} \text{BE}_\epsilon(Y_t) \Big|_{t=0} + Q(u)(\dot{\psi}, \dot{\psi})$$

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- Let $\dot{\psi} = \partial_t \psi \Big|_{t=0}$, then

$$\frac{d^2}{dt^2} E_\epsilon(u + tv) \stackrel{!}{=} \frac{d^2}{dt^2} \text{BE}_\epsilon(Y_t) \Big|_{t=0} + Q(u)(\dot{\psi}, \dot{\psi})$$

- $\dot{\psi} \Big|_Y = 0$ and u_ϵ is a minimizer gives:

$$Q(\dot{\psi}, \dot{\psi}) \geq 0$$

$$\implies \frac{d^2}{dt^2} E_\epsilon(u + tv) \Big|_{t=0} - \frac{d^2}{dt^2} \text{BE}_\epsilon(Y_t) \Big|_{t=0} \geq 0$$

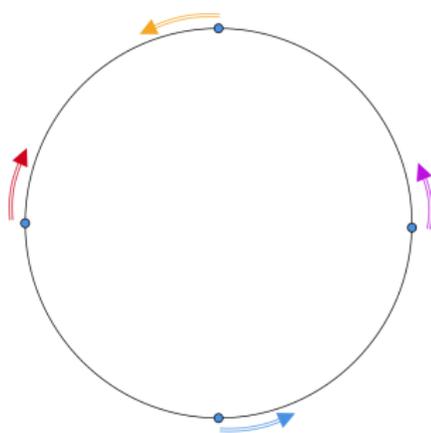
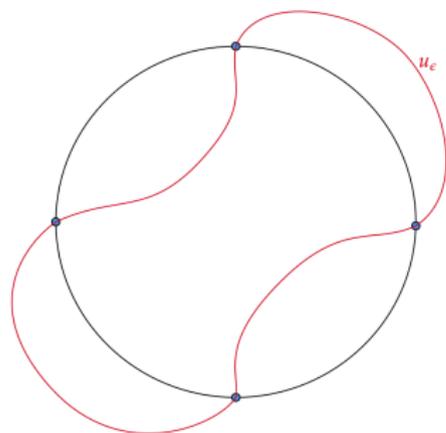
$$\implies \text{Ind}_{AC}(u_\epsilon) - \text{Ind}_{\text{BE}_\epsilon}(Y) \geq 0$$

Applications of 2nd Variation: Solutions on S^1

Let $u_{\epsilon, 2p} : S^1 \rightarrow \mathbb{R}$ be the unique Allen–Cahn solution on S^1 vanishing on D_{2p} -symmetric points:

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Proof Sketch

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Proof Sketch

$$\begin{aligned}\frac{d^2}{dt^2} \text{BE}_\epsilon(Y + tf) &= \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_\nu\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^+ - \dot{u}_{i,x}^-\right] \left(\frac{i}{2p}\right) \\ &= \epsilon c \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) \dot{u}_{i,x}\left(\frac{i}{2p}\right) \\ &\quad + f\left(\frac{i+1}{2p}\right) \dot{u}_{i,x}\left(\frac{i+1}{2p}\right) \\ &\stackrel{!}{=} \epsilon c^2 v(\epsilon) \sum_{i=0}^{2p-1} \left[f\left(\frac{i}{2p}\right) - f\left(\frac{i+1}{2p}\right) \right]^2\end{aligned}$$

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where $v(\epsilon) < 0$ - relies on explicit computation of $\dot{u}_{i,x}$

Further Projects

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Further Projects

- ▶ Constructing solutions near minimal surfaces with singularities

