Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

BE Basics Applications Future Directions

### Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

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Stanford University

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t = 0.05



t = 0.025

Figure

t = 0.005

Phase transitions: two different materials mixed together - how do they equilibriate?

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Figure

- Phase transitions: two different materials mixed together - how do they equilibriate?
- ► Minimize configuration energy ↔ transition region is small

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Build a model for phase transitions,  $u_{\epsilon}: M \to \mathbb{R}$  such that

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$$f_{t=0.005}$$
  $f_{t=0.025}$   $f_{t=0.05}$ 

Build a model for phase transitions,  $u_{\epsilon}: M \to \mathbb{R}$  such that

$$\lim_{\epsilon o 0} u_{\epsilon}^{-1}(0)$$
 "  $=$  "  $Y$  minimal

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$$\lim_{\epsilon\to 0} E_{\epsilon}(u_{\epsilon}) = \sigma_0 A(Y)$$

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Let (M, g) closed manifold. The Allen–Cahn equation is a model for phase transitions given by

$$\epsilon^2 \Delta_g u = u(u^2 - 1) \tag{1}$$

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$$\epsilon^2 \Delta_g u = u(u^2 - 1) \tag{1}$$

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Solutions are critical points of

 $W(u) = \frac{(1-u^2)^2}{4}$ .

$$E_{\epsilon}(u) = \int_{M} \epsilon \frac{|\nabla^{g} u|^{2}}{2} + \frac{W(u)}{\epsilon}$$
(2)

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Solutions are critical points of

$$E_{\epsilon}(u) = \int_{M} \epsilon \frac{|\nabla^{g} u|^{2}}{2} + \frac{W(u)}{\epsilon}$$
(2)

 $W(u) = \frac{(1-u^2)^2}{4}.$ Small energy (or critical point)  $\rightarrow$  balancing between  $u \approx \pm 1$  and small dirichlet energy

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Γ-convergence (Modica-Mortola, '77):

$$E_{\epsilon}(u_{\epsilon}) \xrightarrow{\epsilon \to 0} P(\{u_{\epsilon} = 0\})$$

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Γ-convergence (Modica-Mortola, '77):

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For  $u : \mathbb{R} \to \mathbb{R}$  solutions are either periodic (infinite energy)



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Γ-convergence (Modica-Mortola, '77):

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BE Basics Applications Gluing (Pacard-Ritore, '03): Near a minimal surface, one can find a solution to (1) Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

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Gluing (Pacard-Ritore, '03): Near a minimal surface, one can find a solution to (1)



Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

### Index and Nullity bounds:



Figure

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### Index and Nullity bounds:



Figure

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## ▶ $\{u_{\epsilon}\}$ solutions with $u_{\epsilon}^{-1}(0) \rightarrow Y$ minimal (nicely) as $\epsilon \rightarrow 0$ ,

Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

### Index and Nullity bounds:



Figure

▶  $\{u_{\epsilon}\}$  solutions with  $u_{\epsilon}^{-1}(0) \rightarrow Y$  minimal (nicely) as  $\epsilon \rightarrow 0$ ,

(Gaspar, Hiesmayr, Le) $Ind_{AC,\epsilon}(u_{\epsilon}) \ge Ind(Y)$ 

(Chodosh-Mantoulidis) $Ind_{AC,\epsilon}(u_{\epsilon}) + Null_{AC,\epsilon}(u_{\epsilon}) \leq Ind(Y) + Null(Y)$ 

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### Theorem (Chodosh-Mantoulidis, 2018)

Let  $(M^3, g)$  a closed manifold with bumpy metric. Then there is C > 0 and smooth embedded minimal surfaces  $\Sigma_p$ for all p > 0 so that each component of  $\Sigma_p$  is two-sided and

$$egin{aligned} & C^{-1} p^{1/3} \leq Area_g(\Sigma_p) \leq C p^{1/3} \ & Ind(\Sigma_p) = p \ & genus(\Sigma_p) \geq rac{p}{6} + 1 - C p^{1/3} \end{aligned}$$

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Theorem (Chodosh-Mantoulidis, 2021) Let  $(S^2, g)$  the round sphere. Then for every  $p \in \mathbb{Z}^+$ 

$$\omega_p(S^2,g_0)=2\pi\lfloor\sqrt{p}\rfloor$$

where  $\omega_p$  is the "p-width" of the length functional.

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Theorem (Caselli, Florit-Simon, Serra (2023)) Let  $(M^n, g)$  closed. There exists C > 0 such that for every  $p \ge 1$  and  $s \in (0, 1)$ , there exists an s-minimal surface  $\Sigma^p = \partial E^p$  with morse index at most p and

$$C^{-1}p^{s/n} \leq (1-s)\operatorname{Per}_s(E^p) \leq Cp^{s/n}$$

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In particular, M has infinitely many s-minimal surfaces

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### Motivation

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### ► $E_{\epsilon}(u)$ defined for all $u \in H^1$ , not just those with $u_{\epsilon}^{-1}(0)$ "well behaved" hypersurface

### Motivation

Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

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- Only interested in Allen–Cahn in connection to minimal surfaces

### Motivation

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Geometric

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- ►  $E_{\epsilon}(u)$  defined for all  $u \in H^1$ , not just those with  $u_{\epsilon}^{-1}(0)$ "well behaved" hypersurface
- Only interested in Allen–Cahn in connection to minimal surfaces
- Why not look at  $u \in H^1$  vanishing on hypersurfaces?

### BE Set up • $(M^n, g)$ closed, $Y^{n-1} \subseteq M^n$ separating, closed

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**BE Basics** 

Applications

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### BE Set up

- $(M^n, g)$  closed,  $Y^{n-1} \subseteq M^n$  separating, closed
- Exists unique solutions,  $u_{\epsilon}^{\pm}$ , on  $M^{\pm}$  vanishing on Y

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#### **BE Basics**

Applications

BE Set up

- ▶  $(M^n, g)$  closed,  $Y^{n-1} \subseteq M^n$  separating, closed
- Exists unique solutions,  $u_{\epsilon}^{\pm}$ , on  $M^{\pm}$  vanishing on Y
- Define the "Balanced Energy"

$$\mathsf{BE}_{\epsilon}(Y) := E_{\epsilon}(u_{\epsilon}^+, M^+) + E_{\epsilon}(u_{\epsilon}^-, M^-)$$

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#### **BE Basics**

BE Set up

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#### **BE Basics**

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Theorem (MK, Silva, 2023) The first variation is given by

$$\left. \frac{d}{dt} BE_{\epsilon}(Y_t) \right|_{t=0} = \frac{\epsilon}{2} \int_{Y} f[(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$

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$$\left. \frac{d}{dt} BE_{\epsilon}(Y_t) \right|_{t=0} = \frac{\epsilon}{2} \int_Y f[(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$

- Critical points 
$$\implies u^+_{\epsilon,
u} = u^-_{\epsilon,
u}$$

Figure

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Theorem (MK, Silva, 2023) The first variation is given by

$$\left. \frac{d}{dt} BE_{\epsilon}(Y_t) \right|_{t=0} = \frac{\epsilon}{2} \int_Y f[(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$

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- Critical points 
$$\implies u_{\epsilon, 
u}^+ = u_{\epsilon, 
u}^-$$

Finding critical points

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Theorem (MK, Silva, 2023) The first variation is given by

$$\left. \frac{d}{dt} BE_{\epsilon}(Y_t) \right|_{t=0} = \frac{\epsilon}{2} \int_Y f[(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$

Critical points 
$$\implies u_{\epsilon, 
u}^+ = u_{\epsilon, 
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► Finding critical points ↔ computing dirichlet-to-Neumann map for Allen–Cahn equation on manifold with boundary

### ▶ $\nu(u_{\epsilon})$ (hence $\frac{d}{dt}BE_{\epsilon}(Y_t)|_{t=0}$ ) asymptotically computable

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Applications

►  $\nu(u_{\epsilon})$  (hence  $\frac{d}{dt}BE_{\epsilon}(Y_t)|_{t=0}$ ) asymptotically computable Theorem (MK) For Y a  $C^{4,\alpha}$  hypersurface,

$$\nu^{+}(u_{\epsilon}^{+}) = \frac{1}{\epsilon\sqrt{2}} + \sigma_{0}H_{0} + \sigma_{1}\epsilon[Ric_{Y}(\nu,\nu) + |A_{Y}|^{2}] + O(\epsilon^{2-\alpha})$$



Figure

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#### **BE Basics**

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Figure

Above implies

$$\implies \left. \frac{d}{dt} \mathsf{BE}_{\epsilon}(Y_t) \right|_{t=0} = \sigma_0 \epsilon \int_Y f H_0 + O(\epsilon^2)$$

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### Theorem (MK, Silva)

Let Y a critical point for  $BE_{\epsilon}$ . The second variation is given by

$$\frac{d^2}{dt^2}BE_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}^+_{\epsilon,\nu} - \dot{u}^-_{\epsilon,\nu}]$$

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### Theorem (MK, Silva)

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Let Y a critical point for  $BE_{\epsilon}$ . The second variation is given by

$$\frac{d^2}{dt^2}BE_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}^+_{\epsilon,\nu} - \dot{u}^-_{\epsilon,\nu}]$$

If Y satisfies mild geometric assumptions, then

$$\frac{d^2}{dt^2}BE_{\epsilon}(Y_t)\Big|_{t=0} = D^2A|_{Y}(f) + E(f)$$
$$|E(f)| \le K\epsilon^{1/2}||f||_{H^1}^2$$

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#### **BE Basics**

$$\frac{d^2}{dt^2}\mathsf{BE}_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}^+_{\epsilon,\nu} - \dot{u}^-_{\epsilon,\nu}] = D^2 A|_{Y}(f) + E(f)$$

▶  $\dot{u}_{\epsilon}^{\pm}$  satisfies linearized Allen–Cahn system on  $M^{\pm}$ 

$$\begin{split} [\epsilon^2 \Delta_g - W''(u_\epsilon)] \dot{u}^{\pm}_{\epsilon} &= 0 \qquad p \in M^{\pm} \\ \dot{u}^{\pm}_{\epsilon} \Big|_{Y} &= -f u_{\nu} \end{split}$$

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#### **BE Basics**

$$\frac{d^2}{dt^2}\mathsf{BE}_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}^+_{\epsilon,\nu} - \dot{u}^-_{\epsilon,\nu}] = D^2 A|_{Y}(f) + E(f)$$

•  $\dot{u}_{\epsilon}^{\pm}$  satisfies linearized Allen–Cahn system on  $M^{\pm}$ 

$$\begin{split} [\epsilon^2 \Delta_g - \mathcal{W}''(u_{\epsilon})] \dot{u}_{\epsilon}^{\pm} &= 0 \qquad p \in M^{\pm} \\ \dot{u}_{\epsilon}^{\pm} \Big|_{Y} &= -f u_{\nu} \end{split}$$

• Error bound  $E(f) \leq O(\epsilon^{1/2})||f||_{H^1}^2$  relies on:

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#### **BE Basics**

$$\frac{d^2}{dt^2}\mathsf{BE}_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}^+_{\epsilon,\nu} - \dot{u}^-_{\epsilon,\nu}] = D^2 A|_{Y}(f) + E(f)$$

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• Error bound  $E(f) \leq O(\epsilon^{1/2})||f||_{H^1}^2$  relies on:

• invertibility of  $\epsilon^2 \Delta_g - W''(u) : H^1_0(M^+) \to H^{-1}_0(M^+)$ 

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$$\frac{d^2}{dt^2}\mathsf{BE}_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}_{\epsilon,\nu}^+ - \dot{u}_{\epsilon,\nu}^-] = D^2 A|_{Y}(f) + E(f)$$

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• Error bound  $E(f) \leq O(\epsilon^{1/2})||f||_{H^1}^2$  relies on:

invertibility of  $\epsilon^2 \Delta_g - W''(u) : H_0^1(M^+) → H_0^{-1}(M^+)$  (3g(t)<sup>2</sup> − 1)<sup>-1</sup>(0) = ±0.93123

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Figure

• Let 
$$Q(u_{\epsilon})(v) = \frac{d^2}{dt^2} E_{\epsilon}(u+tv)\Big|_{t=0}$$

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#### **BE Basic**

#### Applications



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► Let 
$$Q(u_{\epsilon})(v) = \frac{d^2}{dt^2} E_{\epsilon}(u+tv)\Big|_{t=0}$$
. Recall  
 $\operatorname{Ind}_{AC}(u) := \max\{\dim V \mid V \subseteq H^1(M), Q(u)\Big|_{(V,V)} < 0\}$   
 $\operatorname{Null}_{AC}(u) := \dim \ker(\epsilon^2 \Delta_g - W''(u))$ 

Theorem

Let  $Y \leftrightarrow u_{\epsilon}$  a critical point for  $BE_{\epsilon}$ . Then

 $Ind_{AC}(u_{\epsilon}) = Ind_{BE_{\epsilon}}(Y)$  $Null_{AC}(u_{\epsilon}) = Null_{BE_{\epsilon}}(Y)$ 

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Theorem Let  $Y \leftrightarrow u_{\epsilon}$  a critical point for  $BE_{\epsilon}$ . Then

> $Ind_{AC}(u_{\epsilon}) = Ind_{BE_{\epsilon}}(Y)$  $Null_{AC}(u_{\epsilon}) = Null_{BE_{\epsilon}}(Y)$

Theorem says we can compute index/nullity on *smaller space* of

$$W = \{\dot{w}(f) \in H^1(M) \mid f \in H^1(Y), \epsilon^2 \Delta_g \dot{w} = W''(u) \dot{w},$$
  
 $\dot{w}\Big|_Y = -fu_{\nu}\}$ 

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• Want to compute  $\frac{d^2}{dt^2}E_{\epsilon}(u+tv)$ 

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Want to compute d<sup>2</sup>/dt<sup>2</sup> E<sub>e</sub>(u + tv)
 Let

$$Y_t = (u + tv)^{-1}(0)$$

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and  $M_t^{\pm}$  accordingly

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Applications

▶ Want to compute d<sup>2</sup>/dt<sup>2</sup> E<sub>e</sub>(u + tv)
 ▶ Let

$$Y_t = (u + tv)^{-1}(0)$$



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### Proof Sketch (continued)



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• Rewrite  $u + tv = u_t + \psi_t$ ,  $u_t$  is a minimizer on  $M_t^{\pm}$ 

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### Proof Sketch (continued)



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• Rewrite  $u + tv = u_t + \psi_t$ ,  $u_t$  is a minimizer on  $M_t^{\pm}$ 

$$\frac{d^2}{dt^2} E_{\epsilon}(u+tv) \stackrel{!}{=} \frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y_t) \Big|_{t=0} + Q(u)(\dot{\psi}, \dot{\psi})$$
  
for  $\dot{\psi} = \frac{d}{dt} \psi_t \Big|_{t=0}$ 

### Proof Sketch (continued)

• 
$$\dot{\psi}\Big|_{Y} = 0$$
 and  $u_{\epsilon}$  is a minimizer gives:  
 $Q(\dot{\psi},\dot{\psi}) \ge 0$ 

$$\implies \frac{d^2}{dt^2} E_{\epsilon}(u+tv) \Big|_{t=0} - \frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y_t) \Big|_{t=0} \ge 0$$
$$\implies \mathsf{Ind}_{AC}(u_{\epsilon}) - \mathsf{Ind}_{\mathsf{BE}_{\epsilon}}(Y) \le 0$$

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Applications

### Applications of 2nd Variation: Solutions on $S^1$

Let  $u_{\epsilon,2p}: S^1 \to \mathbb{R}$  be the unique Allen–Cahn solution on  $S^1$  vanishing on  $D_{2p}$ -symmetric points:

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#### Theorem

Fix p > 0. There exists  $\epsilon_p$  such that for all  $\epsilon < \epsilon_p$ ,  $u_{\epsilon,2p}$  has Allen–Cahn Morse index 2p - 1 and nullity 1. The nullity is realized by rotations and every other variation produces a strictly negative variations.

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Inspriation for + used as barriers to prove the following

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► Inspriation for + used as barriers to prove the following Theorem (Mantoulidis, 2022) Let  $u_{\epsilon_i}$  Allen-Cahn solutions on  $M \times S^1$  such that

 $\lim_{i\to\infty} u_{\epsilon_i}^{-1}(0) = \{\theta_1,\ldots,\theta_m\}\times M$ 

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then m is even and  $\theta_i - \theta_{i+1} = 2\pi/m$ 

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- Gives example of minimal surfaces which *can not* be approximated by Allen–Cahn solutions
- Shows that the set of Allen–Cahn min-max varifolds is a strict subset of Almgren–Pitts min-max varifolds

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# $\frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y+tf) = \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_{\nu}\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^+ - \dot{u}_{i,x}^-\right] \left(\frac{i}{2p}\right)^{\mathsf{Future Directions}}$

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$$\frac{d^{2}}{dt^{2}}\mathsf{BE}_{\epsilon}(Y+tf) = \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_{\nu}\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^{+} - \dot{u}_{i,x}^{-}\right] \left(\frac{i}{2p}\right)^{\mathsf{Future Direction}}$$

$$(\mathsf{rearrangement}) = \epsilon c \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) \dot{u}_{i,x}\left(\frac{i}{2p}\right)$$

$$+ f\left(\frac{i+1}{2p}\right) \dot{u}_{i,x}\left(\frac{i+1}{2p}\right)$$

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$$\frac{d^2}{dt^2} BE_{\epsilon}(Y+tf) = \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_{\nu}\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^+ - \dot{u}_{i,x}^-\right] \left(\frac{i}{2p}\right)^{\text{future Direction}}$$
(rearrangement) =  $\epsilon c \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) \dot{u}_{i,x}\left(\frac{i}{2p}\right)$   
 $+ f\left(\frac{i+1}{2p}\right) \dot{u}_{i,x}\left(\frac{i+1}{2p}\right)$   
 $\stackrel{!}{=} \epsilon c^2 v(\epsilon) \sum_{i=0}^{2p-1} \left[ f\left(\frac{i}{2p}\right) - f\left(\frac{i+1}{2p}\right) \right]^2$ 

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$$\frac{d^{2}}{dt^{2}}BE_{\epsilon}(Y+tf) = \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_{\nu}\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^{+} - \dot{u}_{i,x}^{-}\right] \left(\frac{i}{2p}\right)^{\text{future Direction}}$$
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where  $v(\epsilon) < 0$  - relies on explicit computation of  $\dot{u}_{i,x}$ 

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 Reproving Pacard-Ritore without Lyapunov-schmidt reduction Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

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Applications

- Reproving Pacard-Ritore without Lyapunov-schmidt reduction
- Constructing solutions near minimal surfaces with singularities, solutions converging with multiplicity 2?

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Applications

- Reproving Pacard-Ritore without Lyapunov-schmidt reduction
- Constructing solutions near minimal surfaces with singularities, solutions converging with multiplicity 2?
- Applying framework to line bundle valued Allen–Cahn for existence of minimizers



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Applications

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Development of BE<sub>e</sub>-surface flow

$$\partial_t x = [u_{\nu}^+(x)]^2 - [u_{\nu}^-(x)]^2$$

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