

Geometric Variations of an Allen–Cahn Energy on Hypersurfaces

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Allen–Cahn Background

Let (M, g) closed manifold. The Allen–Cahn equation is a model for phase transitions given by

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Solutions are critical points of

$$E_\epsilon(u) = \int_M \epsilon \frac{|\nabla^g u|^2}{2} + \frac{W(u)}{\epsilon} \quad (2)$$

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- ▶ Small energy (or critical point) \rightarrow balancing between $u \approx \pm 1$ and small dirichlet energy

Allen–Cahn Background

- ▶ Γ -convergence (**Modica-Mortola**, '77):

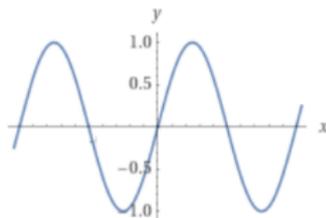
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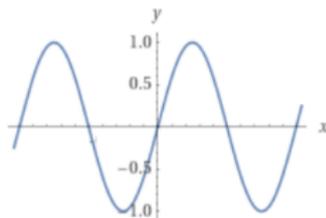
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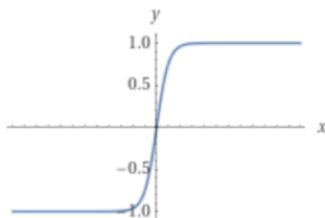
$$E_\epsilon(u_\epsilon) \xrightarrow{\epsilon \rightarrow 0} P(\{u_\epsilon = 0\})$$

- ▶ For $u : \mathbb{R} \rightarrow \mathbb{R}$ solutions are either periodic (infinite energy)



Figure

or $u = \tanh\left(\frac{t}{\epsilon\sqrt{2}}\right) =: g_\epsilon(t)$, the “heteroclinic” solution



- ▶ Gluing (**Pacard-Ritore**, '03): Near a minimal surface, one can find a solution to (1)

BE Basics

Applications

Future Directions

Theorem (Chodosh-Mantoulidis, 2018)

Let (M^3, g) a closed manifold with bumpy metric. Then there is $C > 0$ and smooth embedded minimal surfaces Σ_p for all $p > 0$ so that each component of Σ_p is two-sided and

$$C^{-1}p^{1/3} \leq \text{Area}_g(\Sigma_p) \leq Cp^{1/3}$$

$$\text{Ind}(\Sigma_p) = p$$

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Theorem (Chodosh-Mantoulidis, 2021)

Let (S^2, g) the round sphere. Then for every $p \in \mathbb{Z}^+$

$$\omega_p(S^2, g_0) = 2\pi \lfloor \sqrt{p} \rfloor$$

where ω_p is the “ p -width” of the length functional.

Theorem (Caselli, Florit-Simon, Serra (2023))

Let (M^n, g) closed. There exists $C > 0$ such that for every $p \geq 1$ and $s \in (0, 1)$, there exists an s -minimal surface $\Sigma^p = \partial E^p$ with morse index at most p and

$$C^{-1}p^{s/n} \leq (1 - s)Per_s(E^p) \leq Cp^{s/n}$$

In particular, M has infinitely many s -minimal surfaces

Motivation

- ▶ $E_\epsilon(u)$ defined for *all* $u \in H^1$, not just those with $u_\epsilon^{-1}(0)$ “well behaved” hypersurface

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- ▶ Only interested in Allen–Cahn in connection to minimal surfaces
- ▶ Why not look at $u \in H^1$ vanishing on hypersurfaces?

BE Set up

- ▶ (M^n, g) closed, $Y^{n-1} \subseteq M^n$ separating, closed

BE Basics

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BE Set up

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- ▶ (M^n, g) closed, $Y^{n-1} \subseteq M^n$ separating, closed
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- ▶ Define the “Balanced Energy”

$$\text{BE}_\epsilon(Y) := E_\epsilon(u_\epsilon^+, M^+) + E_\epsilon(u_\epsilon^-, M^-)$$

BE Basics

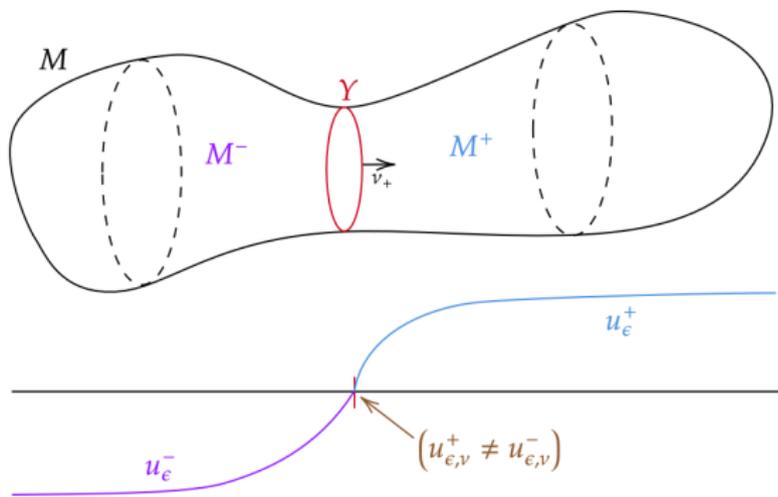
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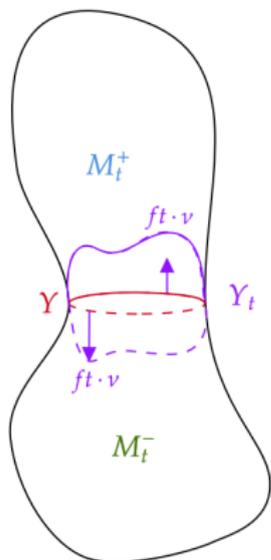
Figure

1st Variation

Theorem (MK, Silva, 2023)

The first variation is given by

$$\frac{d}{dt} BE_\epsilon(Y_t) \Big|_{t=0} = \frac{\epsilon}{2} \int_Y f[(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$



Figure

- ▶ $\nu(u_\epsilon)$ (hence $\frac{d}{dt}\text{BE}_\epsilon(Y_t)|_{t=0}$) asymptotically computable

BE Basics

Applications

Future Directions

2nd Variation

BE Basics

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2nd Variation

Theorem (MK, Silva)

Let Y a critical point for BE_ϵ . The second variation is given by

$$\frac{d^2}{dt^2} BE_\epsilon(Y_t) \Big|_{t=0} = \epsilon \int_Y fu_\nu [\dot{u}_{\epsilon,\nu}^+ - \dot{u}_{\epsilon,\nu}^-]$$

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If Y satisfies mild geometric assumptions, then

$$\begin{aligned} \frac{d^2}{dt^2} BE_\epsilon(Y_t) \Big|_{t=0} &= D^2 A|_Y(f) + E(f) \\ |E(f)| &\leq K \epsilon^{1/2} \|f\|_{H^1}^2 \end{aligned}$$

2nd Variation

$$\frac{d^2}{dt^2} \text{BE}_\epsilon(Y_t) \Big|_{t=0} = \epsilon \int_Y f u_\nu [\dot{u}_{\epsilon,\nu}^+ - \dot{u}_{\epsilon,\nu}^-] = D^2 A|_Y(f) + E(f)$$

- ▶ \dot{u}_ϵ^\pm satisfies linearized Allen–Cahn system on M^\pm

$$[\epsilon^2 \Delta_g - W''(u_\epsilon)] \dot{u}_\epsilon^\pm = 0 \quad p \in M^\pm$$

$$\dot{u}_\epsilon^\pm \Big|_Y = -f u_\nu$$

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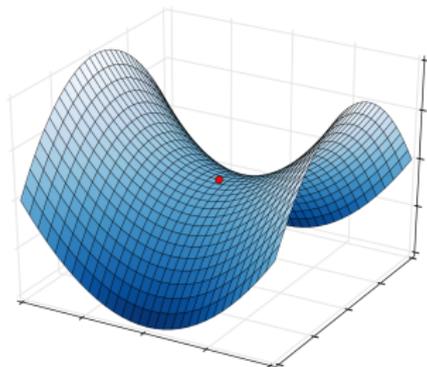
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 - ▶ invertibility of $\epsilon^2 \Delta_g - W''(u) : H_0^1(M^+) \rightarrow H_0^{-1}(M^+)$
 - ▶ $(3g(t)^2 - 1)^{-1}(0) = \pm 0.93123$

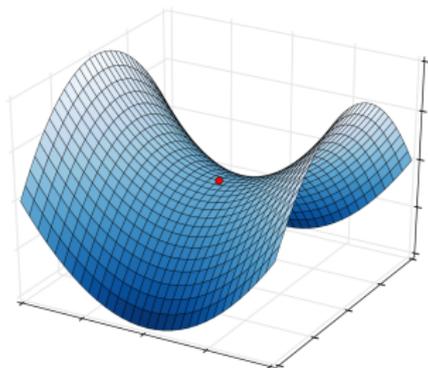
Application: Index computation



Figure

► Let $Q(u_\epsilon)(v) = \left. \frac{d^2}{dt^2} E_\epsilon(u + tv) \right|_{t=0}$.

Application: Index computation



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► Let $Q(u_\epsilon)(v) = \left. \frac{d^2}{dt^2} E_\epsilon(u + tv) \right|_{t=0}$. Recall

$$\text{Ind}_{AC}(u) := \max\{\dim V \mid V \subseteq H^1(M), Q(u)|_{(V,V)} < 0\}$$

$$\text{Null}_{AC}(u) := \dim \ker(\epsilon^2 \Delta_g - W''(u))$$

Application: Index computation

Theorem

Let $Y \leftrightarrow u_\epsilon$ a critical point for BE_ϵ . Then

$$\text{Ind}_{AC}(u_\epsilon) = \text{Ind}_{BE_\epsilon}(Y)$$

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Theorem says we can compute index/nullity on *smaller space* of

$$W = \{ \dot{w}(f) \in H^1(M) \mid f \in H^1(Y), \epsilon^2 \Delta_g \dot{w} = W''(u) \dot{w}, \\ \dot{w} \Big|_Y = -f u_\nu \}$$

Proof Sketch of $\text{Ind}_{AC}(u_\epsilon) \leq \text{Ind}_{BE}(Y)$

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- ▶ Want to compute $\frac{d^2}{dt^2} E_\epsilon(u + tv)$
- ▶ Let

$$Y_t = (u + tv)^{-1}(0)$$

and M_t^\pm accordingly

BE Basics

Applications

Future Directions

Proof Sketch (continued)

- ▶ $\dot{\psi}|_Y = 0$ and u_ϵ is a minimizer gives:

$$\begin{aligned} Q(\dot{\psi}, \dot{\psi}) &\geq 0 \\ \implies \frac{d^2}{dt^2} E_\epsilon(u + tv) \Big|_{t=0} - \frac{d^2}{dt^2} \text{BE}_\epsilon(Y_t) \Big|_{t=0} &\geq 0 \\ \implies \text{Ind}_{AC}(u_\epsilon) - \text{Ind}_{\text{BE}_\epsilon}(Y) &\leq 0 \end{aligned}$$

Applications of 2nd Variation: Solutions on S^1

Let $u_{\epsilon, 2p} : S^1 \rightarrow \mathbb{R}$ be the unique Allen–Cahn solution on S^1 vanishing on D_{2p} -symmetric points:

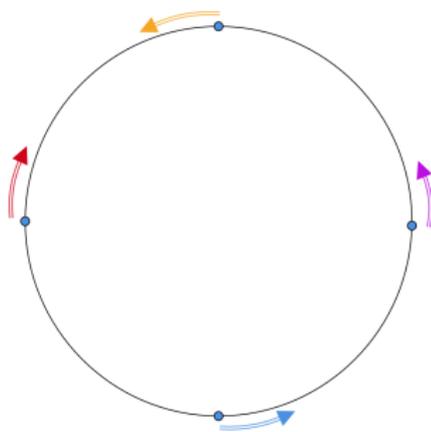
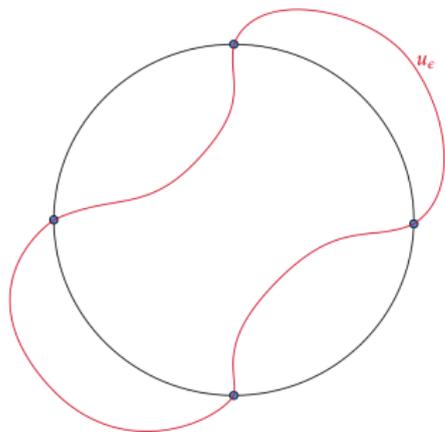
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Theorem (Mantoulidis, 2022)

Let u_{ϵ_i} Allen–Cahn solutions on $M \times S^1$ such that

$$\lim_{i \rightarrow \infty} u_{\epsilon_i}^{-1}(0) = \{\theta_1, \dots, \theta_m\} \times M$$

then m is even and $\theta_i - \theta_{i+1} = 2\pi/m$

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- ▶ Gives example of minimal surfaces which *can not* be approximated by Allen–Cahn solutions
- ▶ Shows that the set of Allen–Cahn min-max varifolds is a strict subset of Almgren–Pitts min-max varifolds

Proof Sketch

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Proof Sketch

$$\frac{d^2}{dt^2} \text{BE}_\epsilon(Y + tf) = \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_\nu\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^+ - \dot{u}_{i,x}^- \right] \left(\frac{i}{2p}\right)$$

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$$\begin{aligned} \text{(rearrangement)} &= \epsilon c \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) \dot{u}_{i,x}\left(\frac{i}{2p}\right) \\ &\quad + f\left(\frac{i+1}{2p}\right) \dot{u}_{i,x}\left(\frac{i+1}{2p}\right) \end{aligned}$$

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$$\stackrel{!}{=} \epsilon c^2 v(\epsilon) \sum_{i=0}^{2p-1} \left[f\left(\frac{i}{2p}\right) - f\left(\frac{i+1}{2p}\right) \right]^2$$

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where $v(\epsilon) < 0$ - relies on explicit computation of $\dot{u}_{i,x}$

Further Projects

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Further Projects

- ▶ Repeating Pacard-Ritore without Lyapunov-schmidt reduction

Further Projects

- ▶ Reproving Pacard-Ritore without Lyapunov-schmidt reduction
- ▶ Constructing solutions near minimal surfaces with singularities, solutions converging with multiplicity 2?

